Elementary Number Theory and Methods of Proof

CSE 215: Foundations of Computer Science

Stony Brook University

http://www.cs.stonybrook.edu/~liu/cse215

Number theory

- Properties of integers (whole numbers), rational numbers (integer fractions), and real numbers.
 - Determine the truth of mathematical statements.
- Example:

Definition: For any real number x, the floor of x, $\lfloor x \rfloor$, is the largest integer that is less than or equal to x.

$$[2.3] = 2;$$
 $[12.99999] = 12;$ $[-1.5] = -2$

- For any real number x, is $\lfloor x-1 \rfloor = \lfloor x \rfloor 1$? yes (true)
- For any real numbers x and y, is [x-y] = [x] [y]? no (false)
 - |2.0-1.1| = |0.9| = 0
 - [2.0] [1.1] = 2 1 = 1

Number theory

- Proof example:
 - If x is a number with 5x + 3 = 33, then x = 6

Proof:

If 5x + 3 = 33, then 5x + 3 - 3 = 33 - 3 since subtracting the same number from two equal quantities gives equal results.

5x + 3 - 3 = 5x because adding 3 to 5x and then subtracting 3 just leaves 5x, and also, 33 - 3 = 30.

Hence 5x = 30.

That is, x is a number which when multiplied by 5 equals 30.

The only number with this property is 6.

Therefore, if 5x + 3 = 33 then x = 6.

Number theory introduction

- Properties of equality:
 - (1) A = A
 - (2) if A = B then B = A
 - (3) if A = B and B = C, then A = C
- The set of all integers is closed under addition, subtraction, and multiplication.

That is,

sums, differences, and products of integers are integers.

Number theory introduction

- An integer n is even if, and only if, n equals twice some integer:
 n is even ⇔ ∃ an integer k such that n = 2k
- An integer n is odd if, and only if, n equals twice some integer plus 1:
 n is odd ⇔ ∃an integer k such that n = 2k + 1
- Reasoning examples:
 - Is 0 even? Yes, 0 = 2.0
 - Is -301 odd? Yes, -301 = 2(-151) + 1.
 - If a and b are integers, is $6a^2b$ even?

Yes, $6a^2b = 2(3a^2b)$ and $3a^2b$ is an integer being a product of integers: 3, a, a, and b

Number theory introduction

• An integer n is **prime** if, and only if, n>1 and for all positive integers r and s, if $n=r\cdot s$, then either r or s equals n:

n is prime $\Leftrightarrow \forall$ positive integers r and s, if $n = r \cdot s$ then either r = 1 and s = n, or r = n and s = 1

• An integer n is **composite** if, and only if, n>1 and n=r·s for some integers r and s with 1 < r < n and 1 < s < n:

n is composite $\Leftrightarrow \exists$ positive integers r and s such that $n = r \cdot s$ and 1 < r < n and 1 < s < n

• Example: Is every integer greater than 1 either prime or composite?

Yes. Let n be an integer > 1. There exist at least two pairs of integers: r=n and s=1, and r=1 and s=n, such that n=rs. If there exists a pair of positive integers r and s such that n=rs and neither r nor s equals either 1 or n (1 < r < n and 1 < s < n), then n is composite. Otherwise, it's prime.

Proving Existential Statements

- Existential statement: $\exists x \in D, Q(x)$ is true if, and only if, Q(x) is true for at least one x in D
- Constructive proofs of existence: find an x in D that makes Q(x) true, or give a set of directions for finding such an x
- Examples:
 - ullet an even integer n that can be written in two ways as a sum of two prime numbers
 - <u>Proof:</u> n = 10 = 5+5 = 3+7 where 5, 3 and 7 are prime numbers
 - \exists an integer k such that 22r + 18s = 2k where r and s are integers <u>Proof:</u> Let k = 11r + 9s. k is an integer because it is a sum of products of integers. By distributivity of multiplication the equality is proved.

Proving Existential Statements

Nonconstructive proofs of existence:

the evidence for the existence of a value of x is guaranteed by an axiom or theorem

the assumption that there is no such x leads to a contradiction

• Problem: gives no idea of what x is.

Disproving Universal Statements by Counterexample

- Disprove $\forall x \text{ in } D, \text{ if } P(x) \text{ then } Q(x)$
 - The statement is false is equivalent to its negation is true.
 - The negation is: $\exists x \text{ in } D, P(x) \land \neg Q(x)$
- Disproof by counterexample:

 $\forall x$ in D, if P(x) then Q(x) is false if we find an x in D for which P(x) is true and Q(x) is false

- x is called a **counterexample**
- Example:

Disprove \forall real numbers a and b, if $a^2 = b^2$ then a = b.

Counterexample: Let a = 1 and b = -1.

$$a^{2} = b^{2} = 1$$
, but $a \neq b$

Proving Universal Statements

- Universal statement: $\forall x \in D$, if P(x) then Q(x)
- The method of exhaustion:

if D is finite or only a finite number of elements satisfy P(x), then we can try all possibilities

- Example:
 - Prove $\forall n \in \mathbb{Z}$, if n is even and $4 \le n \le 7$, then n can be written as a sum of two prime numbers.

Proof:

$$4 = 2 + 2$$
 and

Proving Universal Statements

- Method of generalizing from the generic particular:
 - suppose x is a *particular* but *arbitrarily chosen* element of the set, and show that x satisfies the property
 - no special assumptions about x that are not also true of all other elements of the domain

As in method direct proof:

- 1. Formalize the statement as: $\forall x \in D$, if P(x) then Q(x)
- 2. Let x is a particular but arbitrarily chosen element of D for which the hypothesis P(x) is true
- 3. Show that the conclusion Q(x) is true

Method of Direct Proof

- Example: prove that the sum of any two even integers is even
 - 1. Formalize: \forall integers m, n, if m and n are even then m + n is even
 - 2. Suppose m and n are any even integers

Existential Instantiation: If the existence of a certain kind of object is assumed or has been deduced then it can be given a name

Since m and n equal twice some integers, we give those integers names

```
m = 2r for some integer r and n = 2s for some integer s m + n = 2r + 2s = 2(r + s)
```

r + s is an integer because the sum of any two integers is an integer, so m + n is an even number

The example can be formalized as a proved theorem

Common Mistakes

- 1. Arguing from examples: it is true because it's true in one particular case NO
- 2. Using the same letter to mean two different things
- 3. Jumping to a conclusion **NO**, we need a complete proof!
- 4. Circular reasoning: x is true because y is true since x is true
- 5. Confusion between what is known and what is still to be shown.
 - What is known? Given hypothesis, axioms, proved theorems
- 6. Use of any rather than some. Any could mean all or some.
- 7. Misuse of *if*: when something is given, should use *because*

Disproving an Existential Statement

- The negation of an existential statement is universal To prove that an existential statement is false, we prove that its negation (a universal statement) is true.
- Example: disprove:

There is a positive integer n s.t. $n^2 + 3n + 2$ is prime.

The negation is:

For all positive integers n, $n^2 + 3n + 2$ is not prime.

Let n be any positive integer

$$n^2 + 3n + 2 = (n + 1)(n + 2)$$

where n + 1 > 1 and n + 2 > 1 because $n \ge 1$

Thus $n^2 + 3n + 2$ is a product of two integers each greater than 1, and thus is not prime.

Rational Numbers

• A real number r is **rational** if, and only if, it can be expressed as a quotient of two integers with a nonzero denominator

r is rational $\Leftrightarrow \exists integers a and b s.t. r = a / b and b \neq 0$

• Examples:

$$10/3$$
, $-5/39$, $0.281 = 281/1000$, $7 = 7/1$, $0 = 0/1$, $0.12121212... = 12/99$

• Every integer is a rational number: n = n/1

A Sum of Rationals Is Rational

• \forall real numbers r and s, if r and s are rational then r + s is rational.

Proof:

```
Suppose r and s are particular but arbitrarily chosen real numbers such that r and s are rational
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r = a/b and s = c/d
```

for some integers a, b, c, and d, where $b \neq 0$ and $d \neq 0$

Then
$$r + s = a/b + c/d$$

= ad/bd + bc/bd (rewriting fractions with a common denominator)

= (ad + bc)/bd (adding fractions with a common denominator)

And

$$bd \neq 0$$

Therefore, r + s is rational.

Deriving Additional Results about Even and Odd Integers

Prove:

```
if a is any even integer and b is any odd integer,
then (a^2+b^2+1)/2 is an integer
```

using the properties:

- 1. The sum, product, and difference of any two even integers are even.
- 2. The sum and difference of any two odd integers are even.
- 3. The product of any two odd integers is odd.
- 4. The product of any even integer and any odd integer is even.
- 5. The sum of any odd integer and any even integer is odd.
- 6. The difference of any odd integer minus any even integer is odd.
- 7. The difference of any even integer minus any odd integer is odd.

Group these:

1 2 for sum/diff=even, 5 6 7 for sum/diff=odd, 1 3 4 for product

Proof:

- Suppose a is any even integer and b is any odd integer.
- By property 1, a² is even.
- By property 3, b² is odd.
- By property 5, $a^2 + b^2$ is odd.
- By property 2, $a^2 + b^2 + 1$ is even.
- By definition of even, there exists an integer k such that $a^2 + b^2 + 1 = 2k$.
- By division with 2, $(a^2+b^2+1)/2 = k$, which is an integer.

Divisibility

If n and d are integers and d ≠ 0 then n is divisible by d
if, and only if, n equals d times some integer

 $d \mid n \iff \exists an integer k s.t. n = dk$

- n is a multiple of d
- d is a factor of n
- d is a divisor of n
- d divides n
- Notation: d | n (read "d divides n")
- Examples: 21 is divisible by 3, 32 is a multiple of -16,
 5 divides 40, 6 is a factor of 54, 7 is a factor of -7
 - Any nonzero integer k divides 0, because $0 = k \cdot 0$

A Positive Divisor of a Positive Integer

• For all integers a and b, if a and b are positive and a divides b, then $a \le b$.

Proof:

- Suppose a and b are positive integers and a divides b
- Then there exists an integer k such that b = ak
- $1 \le k$ because every positive integer is greater than or equal to 1
- Multiplying both sides by a gives $a \le ka = b$, since a is a positive number

Transitivity of Divisibility

• For all integers a, b, and c, if a | b and b | c, then a | c

Proof:

- Since $a \mid b$, b = ar for some integer r.
- Since $b \mid c$, c = bs for some integer s.

```
Hence, c = bs = (ar)s = a(rs)
```

by the associative law for multiplication.

rs is an integer, so a | c

Counterexamples and Divisibility

• For all integers a and b, if a | b and b | a then a=b.

Counterexample:

Let a = 2 and b = -2. Then $a \mid b$ since $2 \mid (-2)$ and $b \mid a$ since $(-2) \mid 2$, but $a \neq b$ since $2 \neq -2$

Therefore, the statement is false.

Unique Factorization of Integers Theorem

• Given any integer n > 1, there exist a positive integer k, distinct prime numbers $p_1, p_2, ..., p_k$, and positive integers $e_1, e_2, ..., e_k$ such that:

$$n = p_1^{e_1} p_2^{e_2} p_3^{e_3} ... p_k^{e_k}$$

and any other expression for n as a product of prime numbers is identical to this except for the order in which the factors are written.

- proof is outlined in later chapters
- Standard factored form: $p_1 < p_2 < \cdots < p_k$
- Example: $360 = 2 \cdot 2 \cdot 3 \cdot 3 \cdot 2 \cdot 5 = 2^3 \cdot 3^2 \cdot 5^1$

The Quotient-Remainder Theorem

• Given any integer n and positive integer d, there exist unique integers q and r such that

$$n = dq + r$$
 and $0 \le r < d$.

- proof is outlined in later chapter/section
- $n \operatorname{div} d = the integer quotient obtained when n is divided by d$
- $n \mod d = the nonnegative integer remainder obtained when n is divided by d.$

```
n \operatorname{div} d = q and n \operatorname{mod} d = r \iff n = dq + r
n \operatorname{mod} d = n - d \cdot (n \operatorname{div} d)
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• Examples: d = 4

•
$$n = 54 = 52 + 2 = 4.13 + 2$$
; hence $q = 13$ and $r = 2$

•
$$n = -54 = -56 + 2 = 4 \cdot (-14) + 2$$
; hence $q = -14$ and $r = 2$

Method of Proof by Division into Cases

• To prove:

```
If A_1 or A_2 or . . . or A_n, then C prove all of the following:

If A_1, then C,

If A_2, then C,

...

If A_n, then C.
```

C is true regardless of which of $A_1, A_2, ..., A_n$ happens to be the case

Parity

• The parity of an integer refers to whether the integer is even or odd

• Prove: consecutive integers have opposite parity

- Case 1: The smaller of the two integers is even
- Case 2: The smaller of the two integers is odd

Simple proof in both cases.

Representation of integers modulo 4

• Prove: any integer can be written in one of the four forms:

$$n = 4q$$
 or $n = 4q + 1$ or $n = 4q + 2$ or $n = 4q + 3$

<u>Proof:</u> By the quotient-remainder theorem to n with d = 4:

$$n = 4q + r \qquad \text{and} \qquad 0 \le r < 4$$

The only nonnegative remainders r less than 4 are 0, 1, 2, and 3 Hence:

$$n=4q$$
 or $n=4q+1$ or $n=4q+2$ or $n=4q+3$ for some integer q

Absolute Value and the Triangle Inequality

• The **absolute value** of x is:

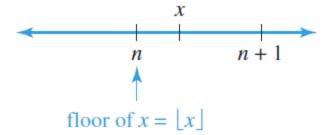
$$|x| = \begin{cases} x & \text{if } x \ge 0 \\ -x & \text{if } x < 0 \end{cases}$$

- For all real numbers r, $-|r| \le r \le |r|$
 - Case 1 $(r \ge 0)$: |r| = r
 - Case 2 (r < 0): |r| = -r, so, -|r| = r

Floor and Ceiling

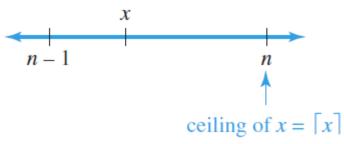
• The **floor** of a real number x, [x], is a unique integer n such that $n \le x < n+1$:

$$[x] = n \iff n \le x < n+1$$



• The **ceiling** of a real number x, [x], is a unique integer n such that $n-1 < x \le n$:

$$[x] = n \Leftrightarrow n-1 < x \le n$$



Floor and Ceiling

- Examples:
 - 25/4 = 6.25, where 6 < 6.25 < 7

 [25/4] = 6

 [25/4] = 7
 - 0.999, where 0 < 0.999 < 1

$$[0.999] = 0$$

$$[0.999] = 1$$

• -2.01, where -3 < -2.01 < -2

$$[-2.01] = -3$$

$$[-2.01] = -2$$

Disproving A Property of Floor

Disprove:

For all real numbers x and y, [x + y] = [x] + [y]

Counterexample: x = y = 1/2

$$[x + y] = [1] = 1$$

 $[x] + [y] = [1/2] + [1/2] = 0 + 0 = 0$

Hence, $[x + y] \neq [x] + [y]$

Hints on how to reason about [] & []

$$2\frac{3}{5} = 2 + \frac{3}{5}$$

integer part fractional part

x = [x] + fractional part of x

x + y = [x] + [y] +the sum of the fractional parts of x and y x + y = [x+y] +the fractional part of (x + y)

Counterexample: x = y = 1/2

the sum of the fractional parts of x and y = 1the fractional part of (x + y) = 0

Proving a Property of Floor

• For all real numbers x and integers m, [x+m] = [x]+m

Suppose x is a particular but arbitrarily chosen real number, m is a particular but arbitrarily chosen integer

```
n = \lfloor x \rfloor \Leftrightarrow n \text{ is an integer and } n \leq x < n + 1
add m: n + m \leq x + m < n + m + 1
so \lfloor x + m \rfloor = n + m
= \lfloor x \rfloor + m, \text{ since } n = \lfloor x \rfloor
```

The Floor of n/2

• For any integer n,

$$[n/2] = \begin{cases} n/2, & \text{if n is even} \\ (n-1)/2, & \text{if n is odd} \end{cases}$$

Suppose n is a particular but arbitrarily chosen integer

Case 1 (n is odd): n = 2k + 1 for some integer k

$$[n/2] = [(2k+1)/2] = [2k/2+1/2] = [k+1/2] = [k] = k$$

$$(n-1)/2 = (2k+1-1)/2 = 2k/2 = k$$

Case 2 (n is even): n = 2k for some integer k

$$\lfloor n/2 \rfloor = n/2 = k$$

Division quotient and remainder

• If n is any integer and d is a positive integer,

if
$$q = \lfloor n/d \rfloor$$
 and $r = n - d \lfloor n/d \rfloor$,
then $n = dq + r$ and $0 \le r < d$

Proof: Suppose n is any integer, d is a positive integer

$$dq + r = d[n/d] + (n - d[n/d]) = n$$

$$q \le n/d < q + 1$$
 by definition of floor $dq \le n < dq + d$ by multiplying all by d $0 \le n - dq < d$ by subtracting dq from all $0 \le r < d$ by substitution

Indirect Argument: Contradiction and Contraposition

- **Proof by Contradiction** (reductio ad impossible or reductio ad absurdum)
 - A statement is true or it is false but not both
 - Assume the statement is false
 - If the assumption that the statement is false leads logically to a contradiction, impossibility, or absurdity, then that assumption must be false
 - Hence, the given statement must be true

There Is No Greatest Integer

Assumption: there is a greatest integer N
 N ≥ n for every integer n

• If there were a greatest integer, we could add 1 to it to obtain an integer that is greater

$$N + 1 > N$$

• This is a contradiction, no greatest integer can exist (our initial assumption)

No Integer Can Be Both Even and Odd

• Suppose there is at least one integer n that is both even and odd

n = 2a for some integer a, by definition of even n = 2b+1 for some integer b, by definition of odd 2a = 2b+1 2a-2b=1 a-b=1/2

Since a and b are integers, the difference a — b must also be an integer, a contradiction!

The Sum of a Rational Number and an Irrational Number

• The sum of any rational number and any irrational number is irrational

 \forall real numbers r and s, if r is rational and s is irrational, then r + s is irrational

Assume its negation is true:

 \exists a rational number r and an irrational number s such that r + s is rational

r = a/b for some integers a and b with $b \neq 0$

r + s = c/d for some integers c and d with $d \neq 0$

s = c/d - a/b = (bc - ad)/bd with $bd \neq 0$

This contradicts the supposition that it is irrational

Argument by Contraposition

- Logical equivalence between a statement and its contrapositive
- We prove the contrapositive by a direct proof and conclude that the original statement is true

 $\forall x \text{ in D, if } P(x) \text{ then } Q(x)$

Contrapositive: $\forall x$ in D, if Q(x) is false then P(x) is false

Prove the contrapositive by a direct proof

- 1. Suppose x is a (particular but arbitrarily chosen) element of D such that Q(x) is false
- 2. Show P(x) is false

Contraposition: the original statement is true

Contraposition Example

• If the Square of an Integer Is Even, Then the Integer Is Even

Contrapositive: For all integers n, if n is odd then n^2 is odd

Suppose n is any odd integer

n = 2k + 1 for some integer k, by definition of odd

$$n^2 = (2k + 1)^2 = 4k^2 + 4k + 1 = 2(2k^2 + 2k) + 1$$

 $2k^2 + 2k$ is an integer

So n² is odd

[This was to be shown]

Contradiction Example

• If the Square of an Integer Is Even, Then the Integer Is Even

Suppose the negation of the theorem:

There is an integer n such that n² is even and n is not even

Any integer is odd or even, by the quotient-remainder theorem with d = 2 \Rightarrow since n is not even it is odd

n = 2k + 1 for some integer k

$$n^2 = (2k + 1)^2 = 4k^2 + 4k + 1 = 2(2k^2 + 2k) + 1$$

 \rightarrow n² is odd

Contradiction: n² is both odd and even

The Irrationality of $\sqrt{2}$

$$c^{2} = 1^{2} + 1^{2} = 2$$

$$c = \sqrt{2}$$

$$\frac{\text{length (diagonal)}}{\text{length (side)}} \frac{c}{1} = \frac{\sqrt{2}}{1} = \sqrt{2}$$

• Suppose the negation: $\sqrt{2}$ is rational there exist 2 integers m and n with no common factors s.t. $\sqrt{2} = \frac{m}{n}$ $m^2 = 2n^2$ implies that m^2 is even m = 2k for some integer k $m^2 = (2k)^2 = 4k^2 = 2n^2$ n^2 is even, and so n is even both m and n have a common factor of 2. Contradiction

The set of all prime numbers is infinite

• Proof (by contradiction):

Suppose the set of prime numbers is finite: some prime number p is the largest of all prime numbers:

$$2, 3, 5, 7, 11, \ldots, p$$

$$N = (2 \cdot 3 \cdot 5 \cdot 7 \cdot 11 \cdot \cdot \cdot p) + 1$$

 $N > 1 \rightarrow N$ is divisible by some prime number q in 2, 3,...,p q divides $2 \cdot 3 \cdot 5 \cdot 7 \cdot 11 \cdot ...$ p, but not $(2 \cdot 3 \cdot 5 \cdot 7 \cdot 11 \cdot ...$ p) + 1 = N (also proved by contradiction)

Contradiction!

Application: Algorithms

- A **variable** refers to a specific storage location in a computer's memory
- The **data type** of a variable indicates the set in which the variable takes its values: integers, reals, characters, strings, Boolean (the set {0, 1})

 False, True
- Assignment statement: x := e x = e in py/da
- Conditional statements:

```
if (condition) then s_1 else s_2 if cond: s_1
```

else: s₂

The condition is evaluated by substituting the current values of all algorithm variables appearing in it and evaluating the truth or falsity of the resulting statement/expression

Application: Algorithms

```
x := 5 x = r

if x > 2 if x > 2:

then y := x + 1 y = x + 1

else do else:

x := x - 1 x = x - 1

y := 3 \cdot x y = 3 * x

end do
```

• the condition x > 2 is true, then y := x + 1 = 6

Iterative statements

while cond:

[statements that make up the body of the loop] stmt end while

$$i := 1, s := 0$$

while $(i \le 2)$

$$s := s + i$$

$$i := i + 1$$

end while

Trace Table

Iteration Number

	0	1	2
i	1	2	3
S	0	1	3

Variable Name

Iterative statements

for variable := initial expression to final expression
 [statements that make up the body of the loop]
next (same) variable

Trace Table

for i := 1 to 4		Iteration Number						
$\mathbf{x} := \mathbf{i}^2$			0	1	2	3	4	
	Variable Name	x		1	4	9	16	
next i		i	1	2	3	4	5	

```
for i in range(1,5): 1..4 SETL

x = i**2
```

The Division Algorithm

• Given a nonnegative integer a and a positive integer d, find integers q and r that satisfy the conditions a=dq+r and $0 \le r < d$

Input: *a* [a nonnegative integer], *d* [a positive integer]

Algorithm Body:

$$r := a, q := 0$$

while $(r \ge d)$
 $r := r - d$
 $q := q + 1$

end while

The greatest common divisor

- The greatest common divisor of two integers a and b (that are not both zero), gcd(a, b), is that integer d with the following properties:
 - 1. d is a common divisor of both a and b:

2. For all integers c, if c is a common divisor of both a and b, then c is less than or equal to d:

for all integers c, if
$$c \mid a$$
 and $c \mid b$, then $c \leq d$

• Examples:

$$\gcd(72, 63) = \gcd(9.8, 9.7) = 9$$

If r is a positive integer, then gcd(r, 0) = r.

Euclidean Algorithm

• If a and b are any integers not both zero, and if q and r are any integers such that

$$a = bq + r$$

then

$$gcd(a, b) = gcd(b, r).$$

(can be proved by proving

$$gcd(a,b) \le gcd(b,r)$$

$$gcd(a,b) \ge gcd(b,r)$$

,

Euclidean Algorithm

• Given two integers A and B with $A > B \ge 0$, this algorithm computes gcd(A, B)

Input: A, B [integers with $A > B \ge 0$]

Algorithm Body:

$$a := A, b := B, r := B$$

while $(b \neq 0)$
 $c := a \mod b$
 $c := a \mod b$
 $c := b$
 $c := a \mod b$

b := r

end while

gcd := a

Output: gcd [a positive integer]