#### Relational Calculus, Visual Query Languages, and Deductive Databases

CSE 532, Theory of Database Systems

Stony Brook University

http://www.cs.stonybrook.edu/~cse532

## SQL and Relational Calculus

- Although relational *algebra* is useful in the analysis of query evaluation, SQL is actually based on a different query language: *relational calculus*
- There are two relational calculi:
  - Tuple relational calculus (TRC)
  - Domain relational calculus (DRC)

#### **Tuple Relational Calculus**

• Form of query:

 $\{T \mid Condition(T)\}$ 

- *T* is the *target* a variable that ranges over tuples of values
- *Condition* is the *body* of the query
  - Involves *T* (and possibly other variables)
  - Evaluates to *true* or *false* if a specific tuple is substituted for T

### **Tuple Relational Calculus: Example**

{T | Teaching(T) AND T.Semester = 'F2000'}

- When a concrete tuple has been substituted for T:
  - Teaching(T) is true if T is in the relational instance of Teaching
  - T.*Semester* = 'F2000' is true if the semester attribute of T has value F2000
  - Equivalent to:

SELECT \* FROM Teaching T WHERE T.*Semester* = 'F2000'

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### **Relation Between SQL and TRC**

{T | Teaching(T) AND T.Semester = 'F2000'}

SELECT \* FROM Teaching T WHERE T.*Semester* = 'F2000'

- Target T corresponds to SELECT list: the query result contains the entire tuple
- Body split between two clauses:
  - Teaching(T) corresponds to FROM clause
  - T.Semester = 'F2000' corresponds to WHERE clause

# Query Result

• The result of a TRC query with respect to a given database is the set of all choices of tuples for the variable T that make the query condition a true statement about the database

## **Query Condition**

- Atomic condition:
  - P(T), where *P* is a relation name
  - *T.A operator S.B* or *T.A operator constant*, where *T* and *S* are relation names, *A* and *B* are attributes and *operator* is a comparison operator (*e.g.*,  $=, \neq, <, >, \in,$ etc)
- (General) condition:
  - atomic condition
  - If  $C_1$  and  $C_2$  are conditions then  $C_1$  AND  $C_2$ ,  $C_1$  OR  $C_2$ , and NOT  $C_1$  are conditions
  - If *R* is a relation name, *T* a tuple variable, and *C*(*T*) is a condition that uses *T*, then  $\forall T \in R(C(T))$  and  $\exists T \in R(C(T))$  are conditions

#### **Bound and Free Variables**

- X is a *free* variable in the statement  $C_1$ : "X is in CS305" (this might be represented more formally as  $C_1(X)$ )
  - The statement is neither true nor false in a particular state of the database until we assign a value to *X*
- X is a bound (or quantified) variable in the statement C<sub>2</sub>: "there exists a student X such that X is in CS305" (this might be represented more formally as

 $\exists X \in S (C_2(X))$ 

where S is the set of all students)

• This statement can be assigned a truth value for any particular state of the database

# Bound and Free Variables in TRC Queries

- Bound variables are used to make assertions about tuples in database (used in conditions)
- Free variables designate the tuples to be returned by the query (used in targets)

 $\{ \begin{array}{l} S \mid Student(S) \quad AND \quad (\exists T \in Transcript \\ (S.Id = T.StudId \quad AND \quad T.CrsCode = (CS305')) \end{array} \}$ 

- When a value is substituted for S the condition has value *true* or *false*
- There can be only one free variable in a condition (the one that appears in the target)

```
Example 2

\{E \mid Course(E) \text{ AND} \\ \forall S \in Student ( \\ \exists T \in Transcript ( \\ T.StudId = S.Id \text{ AND} \\ T. CrsCode = E.CrsCode \\ )
```

• Returns the set of all course tuples corresponding to all courses that have been taken by all students

# **TRC Syntax Extension**

• We add syntactic sugar to TRC, which simplifies queries and make the syntax even closer to that of SQL:

{S.*Name*, T.*CrsCode* | Student (S) AND Transcript (T) AND ... }

instead of

```
\{R \mid \exists S \in Student (R.Name = S.Name) \\ AND \quad \exists T \in Transcript(R.CrsCode = T.CrsCode) \\ AND \quad \dots \}
```

where **R** is a new tuple variable with attributes *Name* and *CrsCode* 

#### Relation Between TRC and SQL (cont'd)

- List the names of all professors who have taught MGT123
  - In TRC:

{P.Name | Professor(P) AND  $\exists T \in Teaching$ (P.Id = T.ProfId AND T.CrsCode = 'MGT123') }

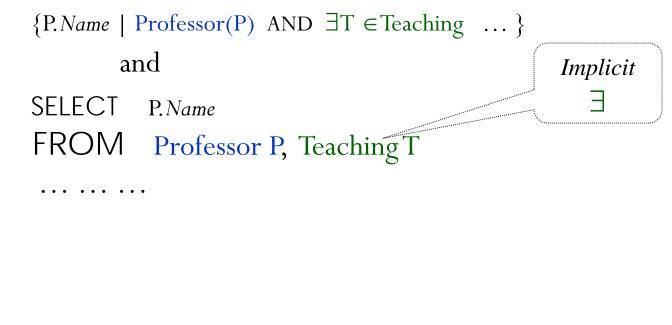
• In SQL:

SELECTP.NameFROMProfessor P, Teaching TWHEREP.Id = T.ProfIdANDT.CrsCode = 'MGT123'

Core of SQL is merely a syntactic sugar on top of TRC

#### What Happened to Quantifiers in SQL?

- SQL has no quantifiers: how come? It uses conventions:
  - Convention 1. Universal quantifiers are not allowed (but SQL:1999 introduced a limited form of explicit ∀)
  - *Convention* 2. Make existential quantifiers *implicit*: Any tuple variable that does not occur in SELECT is assumed to be implicitly quantified with  $\exists$
- Compare:



#### Relation Between TRC and SQL (cont'd)

- SQL uses a subset of TRC with simplifying conventions for quantification
- Restricts the use of quantification and negation (so TRC is more general in this respect)
- SQL uses aggregates, which are absent in TRC (and relational algebra, for that matter). But aggregates can be added
- SQL is extended with relational algebra operators (MINUS, UNION, JOIN, etc.)
  - This is just more syntactic sugar, but it makes queries easier to write

# More on Quantification

- Adjacent existential quantifiers and adjacent universal quantifiers commute:
  - $\exists T \in \text{Transcript} (\exists T1 \in \text{Teaching} (...)) \text{ is same as } \exists T1 \in \text{Teaching} (\exists T \in \text{Transcript} (...))$
- Adjacent existential and universal quantifiers *do not* commute:
  - $\exists T \in \text{Transcript} (\forall T1 \in \text{Teaching} (...)) \text{ is different from } \forall T1 \in \text{Teaching} (\exists T \in \text{Transcript} (...))$

# More on Quantification (con't)

• A quantifier defines the scope of the quantified variable (analogously to a begin/end block):

 $\forall T \in R1 (U(T) \text{ and } \exists T \in R2(V(T)))$ 

is the same as:

 $\forall T \in R1 (U(T) \text{ AND } \exists S \in R2(V(S)))$ 

• Universal domain: Assume a domain, U, which is a union of all other domains in the database. Then, instead of  $\forall T \in U$  and  $\exists S \in U$  we simply write  $\forall T$  and  $\exists T$ 

# Views in TRC

• **Problem**: List students who took a course from every professor in the Computer Science Department

#### Solution:

- First create view: All CS professors
   CSProf = {P.ProfId | Professor(P) AND P.DeptId = 'CS'}
- Then use it
  - {S. Id | Student(S) AND

∀P ∈ CSProf ∃T ∈ Teaching ∃R ∈ Transcript (
 AND P. Id = T.ProfId AND S.Id = R.StudId AND
 T.CrsCode = R.CrsCode AND T.Semester = R.Semester
 ) }

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Queries with Implication

• Did not need views in the previous query, but doing it without a view has its pitfalls: need the implication  $\rightarrow$  (if-then):

```
\{S. Id | Student(S) AND \}
```

```
\forall \mathsf{P} \in \mathsf{Professor} (
```

```
P.DeptId = 'CS' \rightarrow
```

```
\exists T1 \in Teaching \exists R \in Transcript (
```

```
\mathbf{P}.Id = \mathbf{T}\mathbf{1}.ProfId \text{ AND } \mathbf{S}.Id = \mathbf{R}.Id
```

```
AND T1. CrsCode = R. CrsCode
```

```
AND T1.Semester = R.Semester
```

```
• Why P.DeptId = 'CS' \rightarrow ... and not P.DeptId = 'CS' AND ... ?
```

• List students who took a course from every professor in the Computer Science Department!!!

#### More complex SQL to TRC Conversion

• Using views, translation between complex SQL queries and TRC is direct:

SELECT R1.*A*, R2.*C* FROM Rel1 R1, Rel2 R2 WHERE condition1(R1, R2) AND R1.*B* IN (SELECT R3.*E* FROM Rel3 R3, Rel4 R4 WHERE condition2(R2, R3, R4)) Versus:  $\{R1.A, R2.C | Rel1(R1) \text{ AND } Rel2(R2) \text{ AND } condition1(R1, R2)$ AND  $\exists R3 \in Temp'(R1.B = R3.E \text{ AND } R2.C = R3.C$ AND R2.D = R3.D } Temp =  $\{R3.E, R2.C, R2.D | Rel2(R2) \text{ AND } Rel3(R3)$ 

AND  $\exists R4 \in Rel4 (condition2(R2, R3, R4)) \}$ 

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# Domain Relational Calculus (DRC)

- A *domain variable* is a variable whose value is drawn from the domain of an attribute
  - Contrast this with a tuple variable, whose value is an entire tuple
  - *Example*: The domain of a domain variable *Crs* might be the set of all possible values of the *CrsCode* attribute in the relation Teaching

### Queries in DRC

• Form of DRC query:

 $\{X_1, \ldots, X_n \mid condition(X_1, \ldots, X_n)\}$ 

- $X_1, \ldots, X_n$  is the *target*: a list of domain variables.
- $condition(X_1, \ldots, X_n)$  is similar to a condition in TRC; uses free variables  $X_1, \ldots, X_n$ .
  - However, quantification is over a domain
    - $\exists X \in \text{Teaching.} CrsCode \ (\dots \dots)$ 
      - i.e., there is X in Teaching. *CrsCode*, such that condition is true
- Example: {*Pid*, *Code* | Teaching(*Pid*, *Code*, 'F1997')}
  - This is similar to the TRC query:
    - {T | Teaching(T) AND T. Semester = 'F1997'}

### Query Result

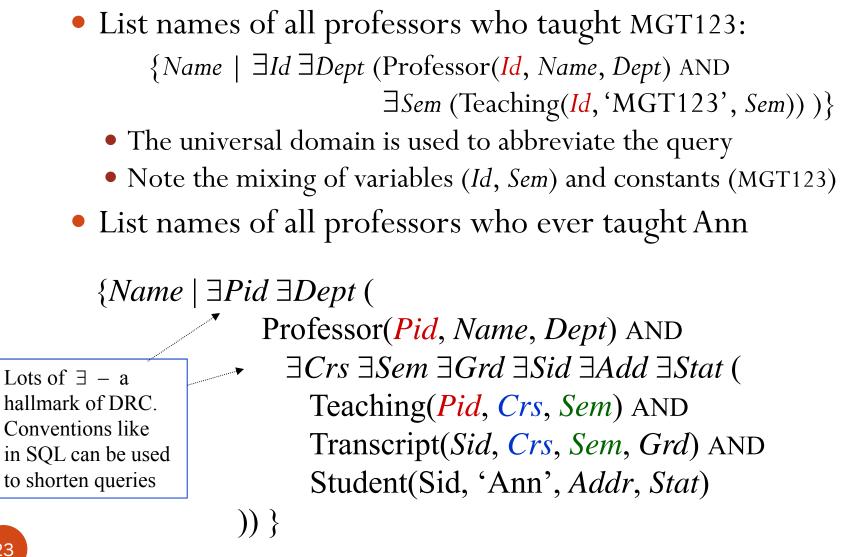
• The result of the DRC query

 $\{X_1, \ldots, X_n \mid condition(X_1, \ldots, X_n)\}$ with respect to a given database is the set of all tuples  $(x_1, \ldots, x_n)$  such that, for  $i = 1, \ldots, n$ , if  $x_i$  is substituted for the free variable  $X_i$ , then  $condition(x_1, \ldots, x_n)$  is a true statement about the database

•  $X_i$  can be a constant, c, in which case  $x_i = c$ 

# Examples

23



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- Consider the query {T | NOT Q(T)}: returns the set of all tuples <u>not</u> in relation Q
  - If the attribute domains change, the result set changes as well
  - This is referred to as a *domain-dependent* query
- Another example:  $\{T \mid \forall S(R(S)) \setminus / Q(T)\}$ 
  - It is domain-dependent
- Only *domain-<u>in</u>dependent* queries make sense, but checking domain-independence is undecidable
  - But there are syntactic restrictions that guarantee domainindependence

- Relational algebra (but not DRC or TRC) queries are always domain-<u>in</u>dependent (proved by induction!)
- TRC, DRC, and relational algebra are equally expressive for domain-independent queries
  - Proving that every domain-independent TRC/DRC query can be written in the algebra is somewhat hard
  - We will show the other direction: that algebraic queries are expressible in TRC/DRC

• Algebra:  $\sigma_{Condition}(\mathbf{R})$ 

• TRC:  $\{T \mid R(T) \text{ AND } Condition_1\}$ 

- DRC:  $\{X_1, \ldots, X_n \mid R(X_1, \ldots, X_n) \text{ AND } Condition_2\}$
- Let Condition be A=B AND C='Joe'. Why Condition<sub>1</sub> and Condition<sub>2</sub>?
  - Because TRC, DRC, and the algebra have slightly different syntax:

Condition<sub>1</sub> is T.A=T.B AND T.C='Joe'Condition<sub>2</sub> would be A=B AND C='Joe'(possibly with different variable names)

- Algebra:  $\pi_{A,B,C}(\mathbf{R})$
- TRC:  $\{T.A, T.B, T.C \mid \mathbf{R}(T)\}$
- DRC:  $\{A, B, C \mid \exists D \exists E \dots \mathbf{R}(A, B, C, D, E, \dots)\}$
- Algebra:  $\mathbf{R} \times \mathbf{S}$
- TRC:  $\{T.A.T.B,T.C,V.D,V,E \mid \mathbf{R}(T) \text{ and } \mathbf{S}(V) \}$
- DRC:  $\{A, B, C, D, E \mid \mathbf{R}(A, B, C) \text{ AND } \mathbf{S}(D, E) \}$

- Algebra:  $\mathbf{R} \cup \mathbf{S}$
- TRC:  $\{T \mid \mathbf{R}(T) \text{ OR } \mathbf{S}(T)\}$
- DRC:  $\{A, B, C \mid \mathbf{R}(A, B, C) \text{ OR } \mathbf{S}(A, B, C) \}$
- Algebra:  $\mathbf{R} \mathbf{S}$
- TRC:  $\{T \mid \mathbf{R}(T) \text{ AND NOT } \mathbf{S}(T)\}$
- DRC:  $\{A, B, C \mid \mathbf{R}(A, B, C) \text{ AND NOT } \mathbf{S}(A, B, C) \}$

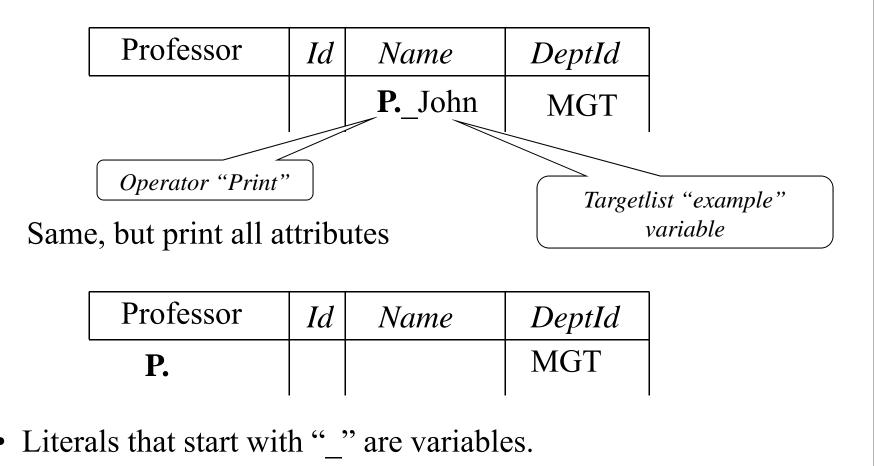
# QBE: Query by Example

- Declarative query language, like SQL
- Based on DRC (rather than TRC)
- Visual
- Other visual query languages (MS Access, Paradox) are just incremental improvements

#### **QBE Examples**

30

#### Print all professors' names in the MGT department

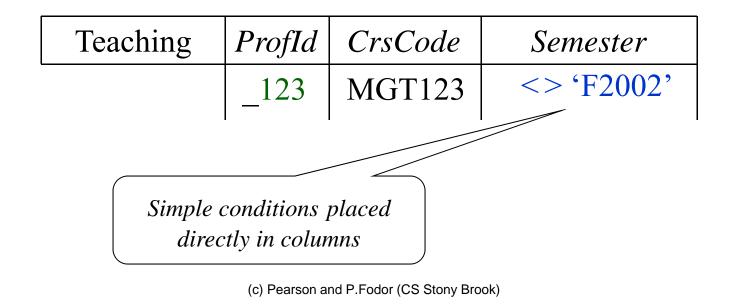


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### Joins in QBE

• Names of professors who taught MGT123 in any semester except Fall 2002

Professor	Id	Name	DeptId
	_123	PJohn	



# **Condition Boxes**

• Some conditions are too complex to be placed directly in table columns

Transcript	StudId	CrsCode	Semester	Grade
	Р.	CS532		_Gr

Conditions  

$$_Gr = 'A' \text{ OR } _Gr = 'B'$$

- Students who took CS532 & got A or B

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#### Aggregates, Updates, etc.

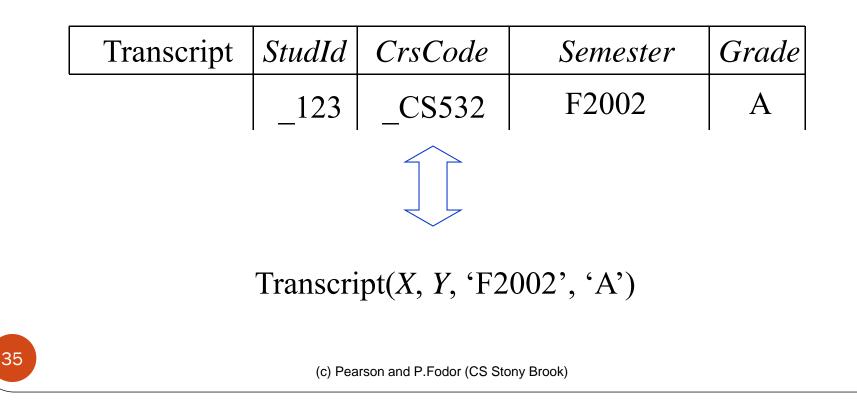
- Has aggregates (operators like AVG, COUNT), grouping operator, etc.
- Has update operators
- To create a new table (like SQL's CREATE TABLE), simply construct a new template:

HasTaught	Professor	Student
Ι.	123456789	567891012

,	Α	Comple	ex Ins	Se	ert Usi	ng	g a Que	ery
		Transcript	StudId	0	CrsCode	J	Semester	Grade
q u			_5678		_CS532		_S2002	
e r	$\langle$		I	I	I			1 1
у		Teaching	Prof	Id	CrsCode	e	Semester	r
			_1234	15	_CS532		_S2002	2
u								I
p d	J	HasTa	ught	$P_{i}$	rofessor		Student	
a t		I.			12345	_	5678	
e			 			 		
luery	$\downarrow$	HasTa	ught	P	rofessor		Student	
arget		<b>P.</b>						
34			(c) Pearsc	on and	P.Fodor (CS Stony B	 Brook)	I	

# Connection to DRC

- Obvious: just a graphical representation of DRC
- Uses the same convention as SQL: existential quantifiers (∃) are omitted



# Pitfalls: Negation

• List all professors who didn't teach anything in S2002:

	Professo	r <i>Id</i>	Name	DeptId	
		_123	<b>P.</b>		
<b>-</b>	Feaching	ProfId	CrsCode	Semester	
	-	_123		S2002	

• *Problem*: What is the quantification of *CrsCode*?

{*Name* | ∃*Id* ∃*DeptId* ∃*CrsCode* ( Professor(*Id*,*Name*,*DeptId*) AND

NOT Teaching(Id,CrsCode,'S2002') ) }

• <u>Not</u> what was intended(!!), but what the convention about implicit quantification says

or

{*Name* |  $\exists Id \exists DeptId \forall CrsCode$  ( Professor(*Id*, *Name*, *DeptId*) AND .....}

• The <u>intended</u> result!

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36

### **Negation Pitfall: Resolution**

- QBE changed its convention:
  - Variables that occur <u>only</u> in a negated table are *implicitly* quantified with ∀ instead of ∃
  - For instance: *CrsCode* in our example. Note: \_123 (which corresponds to *Id* in DRC formulation) is quantified with ∃, because it also occurs in the non-negated table Professor

### • Still, problems remain! Is it

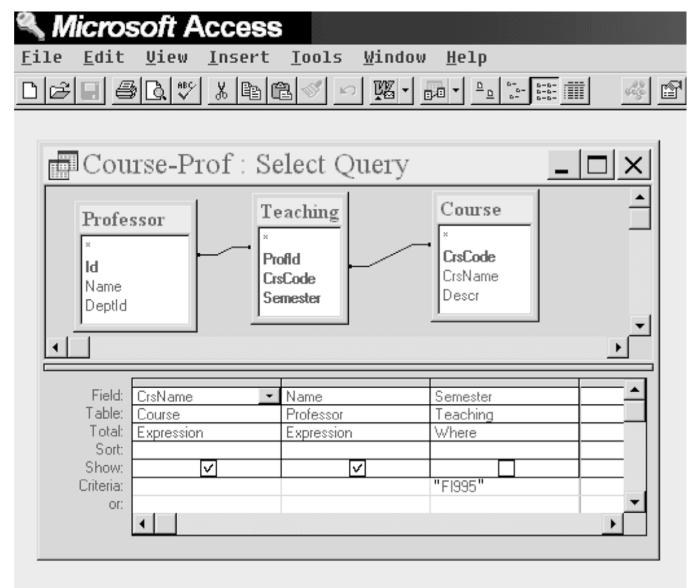
{*Name* |  $\exists Id \exists DeptId \forall CrsCode$  ( Professor(*Id*, *Name*, *DeptId*) AND ...}

or

{*Name* |  $\forall CrsCode \exists Id \exists DeptId$  ( Professor(*Id*, *Name*, *DeptId*) AND ...} Not the same query!

• QBE decrees that the  $\exists$ -prefix goes first

### Microsoft Access



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38

### PC Databases

- A spruced up version of QBE (better interface)
- Be aware of implicit quantification
- Beware of negation pitfalls

### **Deductive Databases**

- Motivation: Limitations of SQL
- Recursion in SQL:1999
- Datalog a better language for complex queries

# Limitations of SQL

- Given a relation Prereq with attributes *Crs* and *PreCrs*, list the set of all courses that must be completed prior to enrolling in CS632
  - The set Prereq <sub>2</sub>, computed by the following expression, contains the immediate and once removed (i.e. 2-step prerequisites) prerequisites for all courses:

 $\pi_{Crs, PreCrs} ((\text{Prereq} \bowtie_{PreCrs=Crs} \text{Prereq})[Crs, P1, C2, PreCrs] \\ \cup \text{Prereq}$ 

• In general, Prereq<sub>i</sub> contains all prerequisites up to those that are *i*-1 removed for all courses:

$$\pi_{Crs, PreCrs} ((\text{Prereq} \bowtie_{PreCrs=Crs} \text{Prereq}_{i-1})[Crs, P1, C2, PreCrs] \\ \cup \text{Prereq}_{i-1}$$

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## Limitations of SQL (con't)

- **Question**: We can compute  $\sigma_{Crs='CS632'}$  (Prereq<sub>*i*</sub>) to get all prerequisites up to those that are *i*-1 removed, but how can we be sure that there are not additional prerequisites that are *i* removed?
- **Answer**: When you reach a value of *i* such that  $Prereq_i = Prereq_{i+1}$  you've got them all. This is referred to as a *stable state*
- **Problem**: There's no way of doing this within relational algebra, DRC, TRC, or SQL (this is *not* obvious and *not* easy to prove)

### Recursion in SQL:1999

- Recursive queries can be formulated using a recursive view:
- (a) { CREATE RECURSIVE VIEW IndirectPrereq (Crs, PreCrs) AS SELECT \* FROM Prereq UNION SELECT P.Crs. L.PreCrs.
- (b)  $\begin{cases} SELECT P.Crs, I.PreCrs \\ FROM Prereq P, IndirectPrereq I \\ WHERE P.PreCrs = I.Crs \end{cases}$ 
  - (a) is a *non*-recursive subquery it cannot refer to the view being defined
    - Starts recursion off by introducing the *base case* the set of direct prerequisites

### Recursion in SQL:1999 (cont'd)

CREATE RECURSIVE VIEW IndirectPrereq (Crs, PreCrs) AS SELECT \* FROM Prereq **UNION** 

- SELECTP.Crs, I.PreCrsFROMPrereq P, IndirectPrereq IWHEREP.PreCrs = I.Crs (b)

  - (b) contains *recursion* this subquery refers to the view being defined.
    - This is a declarative way of specifying the iterative process of calculating successive levels of indirect prerequisites until a stable point is reached

### Recursion in SQL:1999

- The recursive view can be evaluated by computing successive approximations
  - IndirectPrereq<sub>i+1</sub> is obtained by taking the union of IndirectPrereq<sub>i</sub> with the result of the query

SELECTP.Crs, I.PreCrsFROMPrereq P, IndirectPrereq\_i IWHEREP.PreCrs = I.Crs

 Successive values of IndirectPrereq<sub>i</sub> are computed until a stable point is reached, i.e., when the result of the query (IndirectPrereq<sub>i+1</sub>) is contained in IndirectPrereq<sub>i</sub>

### Recursion in SQL:1999

- Also provides the WITH construct, which does not require views.
- Can even define mutually recursive queries:

### WITH

RECURSIVE OddPrereq(Crs, PreCrs) AS (SELECT \* FROM Prereq) UNION (SELECT P.Crs, E.PreCrs FROM Prereq P, EvenPrereq E WHERE P.PreCrs=E.Crs)), RECURSIVE EvenPrereq(Crs, PreCrs) AS (SELECT P.Crs, O.PreCrs FROM Prereq P, OddPrereq O WHERE P.PreCrs = O.Crs) SELECT \* FROM OddPrereq

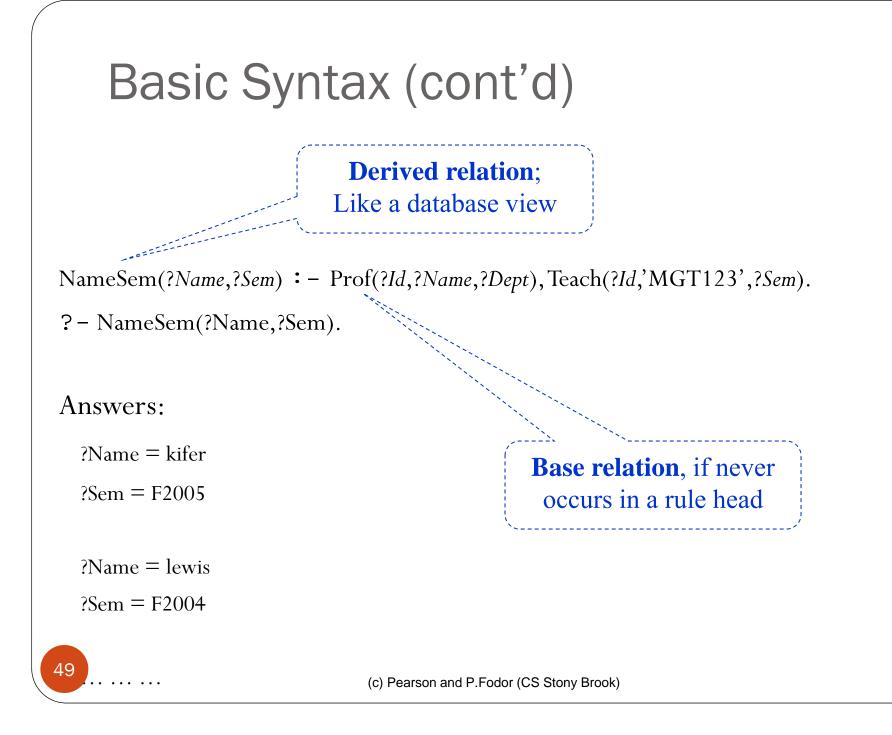
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### Datalog

- Rule-based query language
- Easier to use, more modular than SQL
- *Much* easier to use for recursive queries
- Extensively used in research
- Partial implementations of Datalog are used commercially
- W3C is standardizing a version of Datalog for the Semantic Web
  - RIF-BLD: Basic Logic Dialect of the Rule Interchange Format http://www.w3.org/TR/rif-bld/

### **Basic Syntax**

- Rule:
  - head : body.
- Query:
  - **?** *body*.
- *body*: any DRC expression without the quantifiers.
  - *AND* is often written as ',' (without the quotes)
  - OR is often written as ';'
- *head*: a DRC expression of the form  $R(t_1, \ldots, t_n)$ , where  $t_i$  is either a constant or a variable; R is a relation name.
- *body* in a rule and in a query has the same syntax.



### Basic Syntax (cont'd)

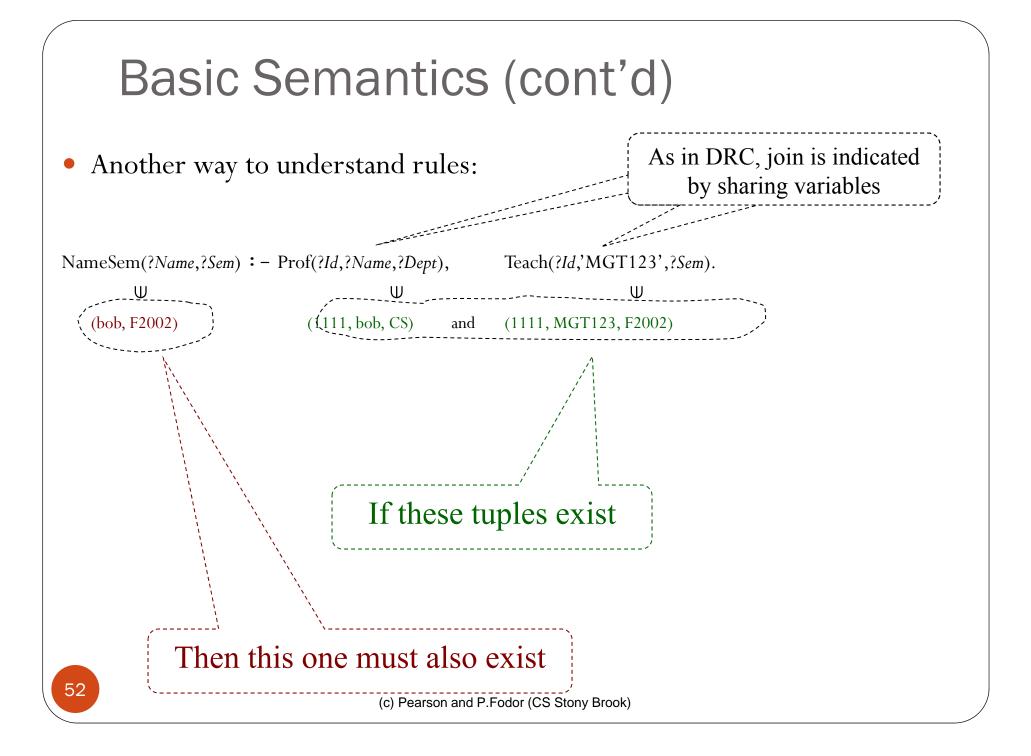
- Datalog's quantification of variables
  - Like in SQL and QBE: *implicit*
  - Variables that occur in the rule body, *but not in the head* are viewed as being quantified with  $\exists$
  - Variables that occur in the head are like target variables in SQL, QBE, and DRC

### **Basic Semantics**

NameSem(?Name,?Sem) := Prof(?Id,?Name,?Dept),Teach(?Id,'MGT123',?Sem).
? = NameSem(?Name, ?Sem).

The easiest way to explain the semantics is to use DRC:

NameSem = {Name, Sem |  $\exists Id \exists Dept ( Prof(Id, Name, Dept) AND$ Teaching(Id, 'MGT123', Sem) ) }



### Union Semantics of Multiple Rules

• Consider rules with the same head-predicate:

NameSem(?Name,?Sem) : - Prof(?Id,?Name,?Dept), Teach(?Id,'MGT123',?Sem).

```
NameSem(?Name,?Sem) : - Prof(?Id,?Name,?Dept), Teach(?Id,'CS532',?Sem).
```

• Semantics is the *union*:

NameSem = {Name, Sem |  $\exists Id \exists Dept$  ( (Prof(*Id*, *Name*, *Dept*) AND Teaching(*Id*, 'MGT123', *Sem*)) OR (Prof(Id, Name, Dept) AND Teaching(Id, 'CS532', Sem)) ) } Equivalently: by distributivity NameSem = {Name, Sem |  $\exists Id \exists Dept$  ( Prof(Id, Name, Dept) AND (Teaching(*Id*, 'MGT123', *Sem*) OR Teaching(*Id*, 'CS532', *Sem*)) ) } Above rules can also be written in one rule: NameSem(?Name,?Sem) : - Prof(?Id,?Name,?Dept), (Teach(?*Id*,'MGT123',?*Sem*), Teach(?*Id*,'CS532',?*Sem*)). 53 (c) Pearson and P.Fodor (CS Stony Brook)

### Recursion

- Recall: DRC cannot express transitive closure
- SQL was specifically extended with recursion to capture this (in fact, but mimicking Datalog)
- Example of recursion in Datalog:

```
IndirectPrereq(?Crs,?Pre) : - Prereq(?Crs,?Pre).
IndirectPrereq(?Crs,?Pre) : -
Prereq(?Crs,?Intermediate),
IndirectPrereq(?Intermediate,?Pre).
```

Semantics of Recursive Datalog Without Negation

- Positive rules
  - No negation (not) in the rule body
  - No disjunction in the rule body
    - The last restriction does not limit the expressive power: H := (B;C) is equivalent to H := B and H := C because
      - H : -B is H or not B
      - Hence
        - *H* or **not** (*B* or *C*) is equivalent to the pair of formulas

H or **not** B

and

H or **not** C.

# Semantics of Negation-free Datalog (cont'd)

• A Datalog rule

HeadRelation(HeadVars) : - Body

can be represented in DRC as

 $HeadRelation = \{HeadVars \mid \exists BodyOnlyVars Body\}$ 

 We call this the DRC query corresponding to the above Datalog rule

### Semantics of Negation-free Datalog – An Algorithm

- Semantics can be defined completely declaratively, but we will define it using an algorithm
- *Input*: A set of Datalog rules without negation + a database
- The *initial state* of the computation:
  - *Base relations* have the content assigned to them by the database
  - *Derived relations* initially empty

Semantics of Negation-free Datalog – An Algorithm (cont'd)

- 1. *CurrentState* := *InitialDBState*
- 2. For each derived relation **R**, let  $r_1, \ldots, r_k$  be all the rules that have **R** in the head
  - Evaluate the DRC queries that correspond to each r<sub>i</sub>
  - Assign the union of the results from these queries to **R**
- 3. NewState := the database where instances of all derived relations have been replaced as in Step 2 above
- 4. **if** *CurrentState* = *NewState*

then Stop: NewState is the stable state that represents the
 meaning of that set of Datalog rules on the given DB
else CurrentState := NewState; Goto Step 2.

## Semantics of Negation-free Datalog – An Algorithm (cont'd)

- The algorithm always **terminates**:
  - *CurrentState* constantly grows (at least, never shrinks)
    - Because DRC expressions of the form

 $\exists$  Vars (A and/or B and/or C ...)

which have no negation, are *monotonic*: if tuples are added to the database, the result of such a DRC query grows monotonically

- It cannot grow indefinitely (Why?)
- **Complexity**: number of steps is polynomial in the size of the DB (if the ruleset is fixed)
  - *D* number of constants in DB;
    - N sum of all arities
  - Can't take more than D<sup>N</sup> iterations
  - Each iteration can produce at most D<sup>N</sup> tuples
  - > Hence, the number of steps is  $O(D^N * D^N)$

### Expressivity

- Recursive Datalog can express queries that cannot be done in DRC (e.g., transitive closure) recall recursive SQL
- DRC can express queries that cannot be expressed in Datalog without negation (e.g., complement of a relation or set-difference of relations)
- Datalog with negation is strictly more expressive than DRC

### Negation in Datalog

- Uses of negation in the rule body:
  - *Simple uses*: For set difference
  - *Complex cases*: When the (relational algebra) division operator is needed
- Expressing division is hard, as in SQL, since no explicit universal quantification

## Negation (cont'd)

 Find all students who took a course from every professor Answer(?Sid) : - Student(?Sid, ?Name, ?Addr), not DidNotTakeAnyCourseFromSomeProf(?Sid).

? - Answer(?Sid).

• Not as straightforward as in DRC, but still quite logical!

(c) Pearson and P.Fodor (CS Stony Brook)

### Negation Pitfalls: Watch Your Variables

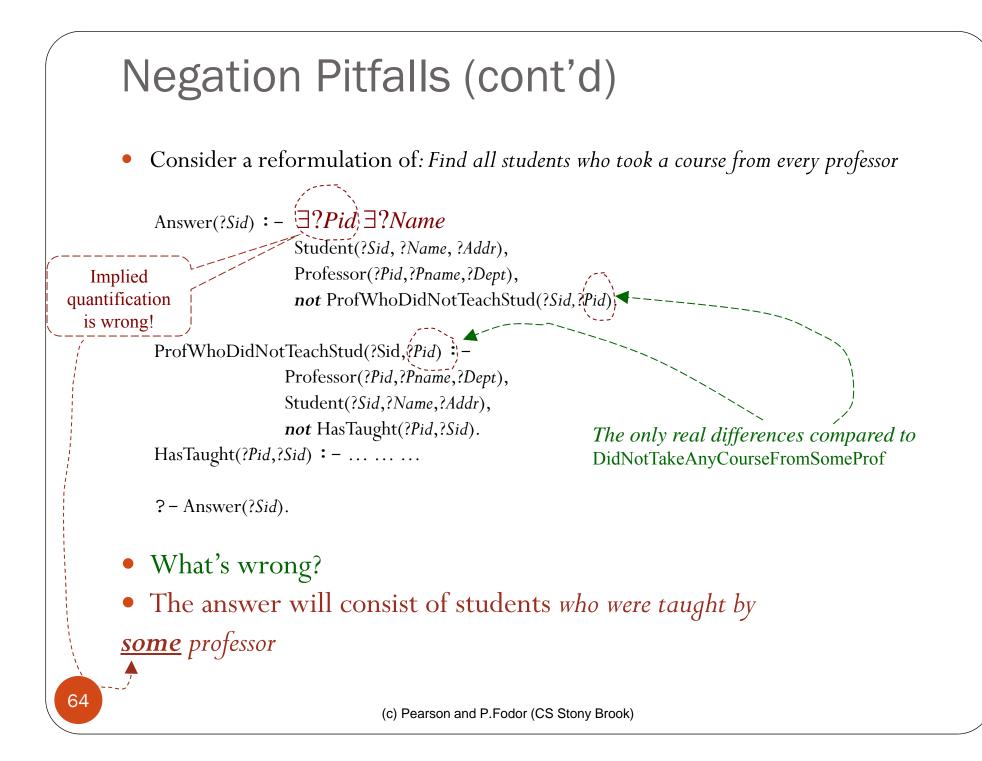
- Has problem similar to the wrong choice of operands in relational division
- Consider: Find all students who have passed <u>all</u> courses that were taught in spring 2006

 $\pi_{StudId, CrsCode, Grade}(\sigma_{Grade \neq 'F'} \text{ (Transcript) }) / \pi_{CrsCode}(\sigma_{Semester='S2006'} \text{ (Teaching) })$ 

#### versus

 $\pi_{StudId, CrsCode}(\sigma_{Grade \neq `F'} \text{ (Transcript) }) / \pi_{CrsCode}(\sigma_{Semester=`S2006'} \text{ (Teaching) })$ 

### Which is correct? Why?



### Negation and a Pitfall: Another Example

• Negation can be used to express containment: Students who took every course taught by professor with Id 1234567 in spring 2006.

• DRC

 $\{Name \mid \forall Crs \exists Grade \exists Sid \\ (Student(Sid, Name), \\ (Teaching(1234567, Crs, `S2006') \\ => Transcript(Sid, Crs, `S2006', Grade)))\}$ 

Datalog

```
Answer(?Name) : - Student(?Sid,?Name),
```

```
not DidntTakeS2006CrsFrom1234567(?Sid).
```

```
DidntTakeS2006CrsFrom1234567(?Sid) :-
```

```
Teaching(1234567,?Crs,'S2006'), not TookS2006Course(?Sid,?Crs).
```

TookS2006Course(?Sid,?Crs) : - Transcript(?Sid,?Crs,'S2006'(,?Grade).)

• **Pitfall**: Transcript(?*Sid*,?*Crs*,'S2006',?*Grade*) here won't do because of ∃?*Grade* !

# Negation and Recursion

- What is the meaning of a ruleset that has recursion through *not*?
- Already saw this in recursive SQL same issue

```
OddPrereq(?X,?Y) : - Prereq(?X,?Y).
OddPrereq(?X,?Y) : - Prereq(?X,?Z), EvenPrereq(?Z,?Y),
not EvenPrereq(?X,?Y).
```

```
EvenPrereq(?X,?Y) : - Prereq(?X,?Z), OddPrereq(?Z,?Y).
```

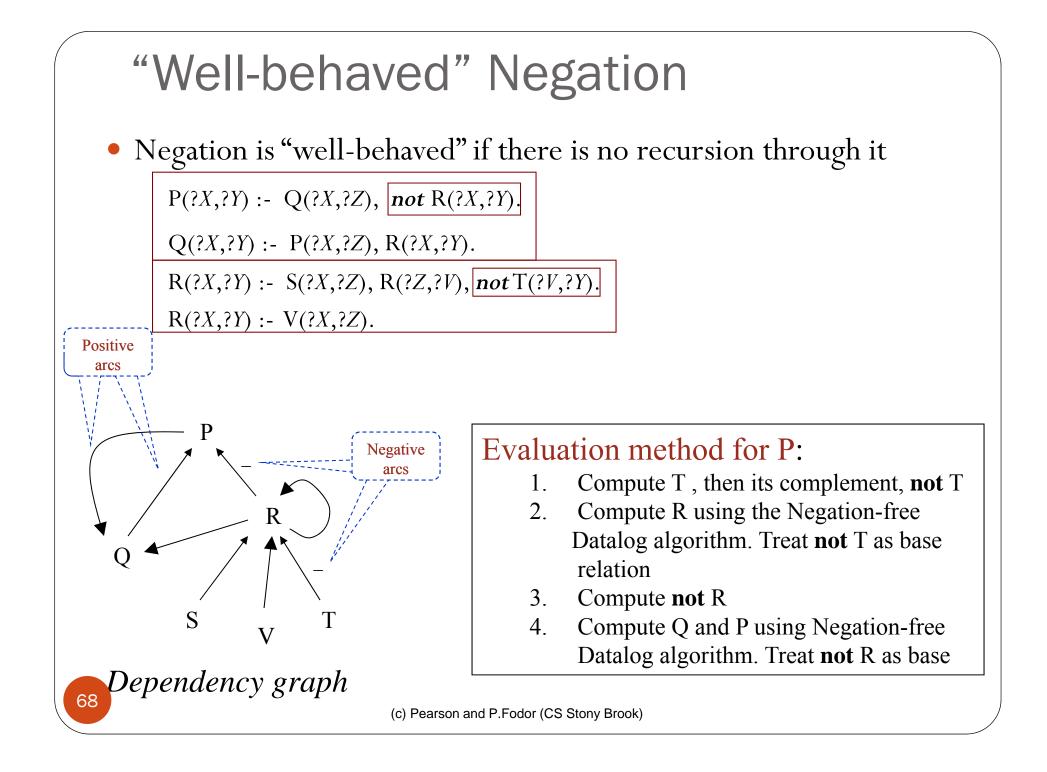
```
? - OddPrereq(?X,?Y).
```

```
• Problem:
```

- Computing OddPrereq depends on knowing the complement of EvenPrereq
- To know the complement of EvenPrereq, need to know EvenPrereq
- To know EvenPrereq, need to compute OddPrereq first!

### Negation Through Recursion (cont'd)

- The algorithm for positive Datalog wont work with negation in the rules:
  - For convergence of the computation, it relied on the *monotonicity* of the DRC queries involved
  - But with negation in DRC, these queries are no longer monotonic: Query = {X | P(X) and not Q(X)} P(a), P(b), P(c); Q(a) => Query result: {b,c} Add Q(b) => Query result shrinks: just {c}



### "Ill-behaved" Negation

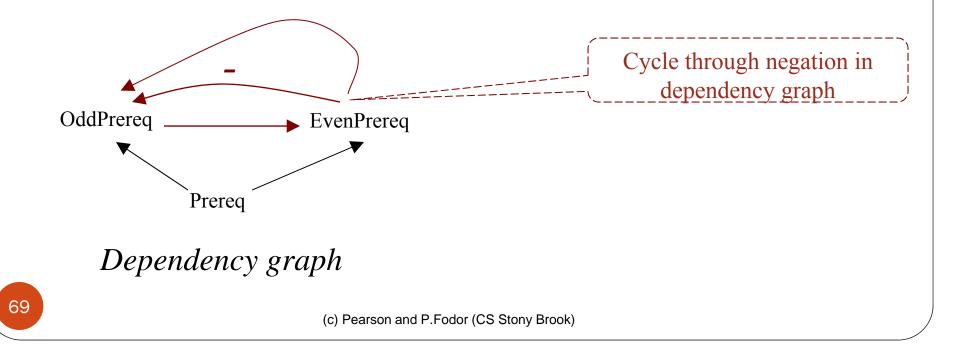
• What was wrong with the even/odd prerequisites example?

```
OddPrereq(?X,?Y) : - Prereq(?X,?Y).
```

```
OddPrereq(?X,?Y) : - Prereq(?X,?Z), EvenPrereq(?Z,?Y),
```

*not* EvenPrereq(?X,?Y).

EvenPrereq(?X,?Y) : - Prereq(?X,?Z), OddPrereq(?Z,?Y).

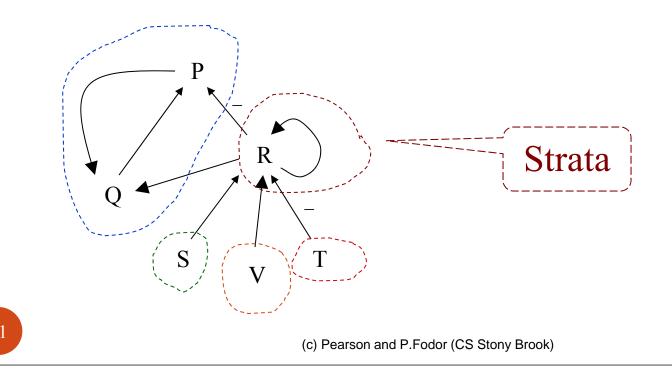


### Dependency Graph for a Ruleset R

- *Nodes*: relation names in **R**
- Arcs:
  - if P(...) := ..., Q(...), ... is in **R** then the dependency graph has a *positive* arc Q = ---> R
  - if P(...) :- ..., not Q(...), ... is in **R** then the dependency graph has a *negative* arc
    - $Q \longrightarrow R$  (marked with the minus sign)

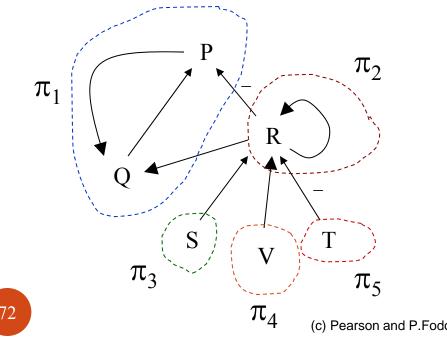
### Strata in a Dependency Graph

- A *stratum* is a positively strongly connected component, i.e., a subset of nodes such that:
  - No *negative paths* among any pair of nodes in the set
  - Every pair of nodes has a *positive path* connecting them (i.e., a----> b and b----> a)



### Stratification

- *Partial order on the strata*: if there is a path from a node in a stratum,  $\pi$ , to a stratum  $\varphi$ , then  $\pi < \varphi$ . (Are  $\pi < \varphi$  and  $\varphi < \pi$  possible together?)
- Stratification: any total order of the strata that is consistent with the above partial order.



A possible stratification:  $\pi_3, \pi_5, \pi_4, \pi_2, \pi_1$ 

Another stratification:  $\pi_5, \pi_4, \pi_3, \pi_2, \pi_1$ 

(c) Pearson and P.Fodor (CS Stony Brook)

#### Stratifiable Rulesets

- This is what we meant earlier by "well-behaved" rulesets
- A ruleset is *stratifiable* if it has a stratification
- Easy to prove (see the book):
  - A ruleset is stratifiable iff its dependency graph has no negative cycles (or if there are no cycles, positive or negative, among the strata of the graph)

# Partitioning of a Ruleset According to Strata

- Let **R** be a ruleset and let  $\pi_1$  ,  $\pi_2$  , ... ,  $\pi_n$  be a stratification
- Then the rules of **R** can be partitioned into subsets  $Q_1$ ,  $Q_2$ , ...,  $Q_n$ , where each  $Q_i$  includes exactly those rules whose head relations belong to  $\pi_i$

# Evaluation of a Stratifiable Ruleset, R

- 1. Partition the relations of **R** into strata
- 2. Stratify (order)
- 3. Partition the rules et according to the strata into the subsets  $Q_1$  ,  $Q_2$  ,  $Q_3$  , …,  $Q_n$
- 4. Evaluate
  - a. Evaluate the lowest stratum,  $Q_1$ , using the negation-free algorithm
  - b. Evaluate the next stratum,  $Q_2$ , using the results for  $Q_1$  and the algorithm for negation-free Datalog
    - If relation P is defined in  $Q_1$  and used in  $Q_2$ , then treat P as a base relation in  $Q_2$
    - If *not* P occurs in  $Q_2$ , then treat it as a <u>new base</u> relation, NotP, whose extension is the complement of P (which can be computed, since P was computed earlier, during the evaluation of  $Q_1$ )
  - c. Do the same for  $Q_3$  using the results from the evaluation of  $Q_2$ , etc.

#### **Unstratified Programs**

• Truth be told, stratification is *not* needed to to evaluate Datalog rulesets. But this becomes a rather complicated stuff, which we won't touch. (Refer to the bibliographic notes, if interested.)

# The XSB Datalog System

- <u>http://xsb.sourceforge.net</u>
- Developed at Stony Brook by Prof. Warren and many contributors
- Not just a Datalog system it is a complete programming language, called Prolog, which happens to support Datalog
- Has a number of syntactic differences with the version we have just seen

#### Differences

- Variables: Any alphanumeric symbol that starts with a capital letter or a \_ (underscore)
  - Examples: Abc, ABC2, \_abc34
  - Non-examples: 123, abc, aBC
- Each occurrence of a singleton symbol \_ is treated as a *new* variable, which was never seen before:
  - Example: p(\_,abc), q(cde,\_) the two \_'s are treated as completely different variables
  - But the two occurrences of \_xyz in p(\_xyz,abc), q(cde,\_xyz) refer to the same variable
- Relation names and constants:
  - must either start with a lowercase letter (and include only alphanumerics and \_)
    - Example: abc, aBC123, abc\_123
  - or be enclosed in single quotes
    - Example: 'abc &% (, foobar1'
    - Note: abc *and* 'abc' refer to the same thing

#### Differences (cont'd)

- Negation: called *tnot* 
  - Note: XSB also has *not*, but it is a different thing!
  - Use: ... : ..., *tnot*(foobar(X)).
- All variables under the scope of *tnot* must also occur to the left of that scope in the body of the rule in other <u>positive</u> relations:
  - Ok:  $\dots$  :- p(X,Y), *tnot*(foobar(X,Y)),  $\dots$
  - Not ok:  $\dots$  : p(X,Z), *tnot*(foobar(X,Y)),  $\dots$
- XSB does not support Datalog by default must tell it to do so with this instruction:

: - auto\_table.

at the top of the program file

## **Overview of Installation**

- Unzip/untar; this will create a subdirectory XSB
- Windows: you are done
- Linux:
  - cd XSB/build
  - ./configure
  - ./makexsb

That's it!

• Cygwin under Windows: same as in Linux

#### Use of XSB

- Put your ruleset *and* data in a file with extension .P (or .pl)
  - $p(X) := q(X,_).$  q(1,a). q(2,a).q(b,c).
  - (0,c). ? – p(X).
- Don't forget: all rules and facts end with a period (.)
- Comments: /\*...\*/ or %.... (% acts like // in Java/C++)
- Type
  - .../XSB/bin/xsb

(Linux/Cygwin)

 $... \ XSB \ on fig \ x86 - pc - windows \ bin \ xsb \ (Windows)$ 

where  $\ldots$  is the path to the directory where you downloaded XSB

• You will see a prompt

```
| ?-
```

and are now ready to type queries

#### Use of XSB (cont'd)

- Loading your program, myprog.P
  - | **?** [myprog].

XSB will compile myprog.P (if necessary) and load it. Now you can type further queries, e.g.

$$| ?- p(X).$$
  
 $| ?- p(1).$ 

Etc.

# Some Useful Built-ins

- write(X) write whatever X is bound to
- writeln(X) write then put newline
- nl output newline
- Equality: =
- Inequality: =

http://xsb.sourceforge.net/manual1/index.html (Volume 1) http://xsb.sourceforge.net/manual2/index.html (Volume 2)

#### Arithmetics

• If you need it: use the builtin *is* 

p(1). p(2).

q(X) := p(Y), X is Y\*2.

Now q(2), q(4) will become true.

• Note:

q(2\*X) := p(X).

will not do what you might think it will do.

It will make q(2\*1) and q(2\*2) true, but 2\*1 and 2\*2 are treated completely differently from 2 and 4 (no need to get into all that for now)

### Some Useful Tricks

• XSB returns only the first answer to the query. To get the next, type ; <Return>. For instance:

| ?- q(X). <Return> X = 2 ; <Return> X = 4 <Return> yes | ?-

• Usually, typing the ;'s is tedious. To do this programmatically, use this idiom:

| ?- (q(\_X), write('X='), writeln(\_X), fail ; true).

\_X here tells XSB to not print its own answers, since we are printing them by ourselves. (XSB won't print answers for variables that are prefixed with a \_.)

#### Aggregates in XSB

- setof(?Template, +Goal, ?Set) : ?Set is the set of all instances of Template such that Goal is provable.
- bagof(?Template, +Goal, ?Bag) has the same semantics as setof/3 except that the third argument returns an unsorted list that may contain duplicates.
- findall(?Template, +Goal, ?List) is similar to predicate bagof/3, except that variables in Goal that do not occur in Template are treated as existential, and alternative lists are not returned for different bindings of such variables.
- tfindall(?Template, +Goal, ?List) is similar to predicate findall/3, but the Goal must be a call to a single tabled predicate.

## **XSB** Prolog basics

- An **atom** is a general-purpose name with no inherent meaning.
- Numbers can be floats or integers.
- A compound term is composed of an atom called a "functor" and a number of "arguments", which are again terms: tree(node(a),tree(node(b),node(c)))
- Special cases of compound terms:
  - *Lists:* ordered collections of terms: [], [1,2,3], [a,1,X|T]
  - *Strings*: A sequence of characters surrounded by quotes is equivalent to a list of (numeric) character codes: "abc", "to be, or not to be"

### **XSB** Prolog

- Variables begin with a capital letter or underscore: X, Socrates, \_result
- Atoms do *not* begin with a capital letter: socrates, paul
  - Atoms containing special characters, or beginning with a capital letter, must be enclosed in single quotes: 'Socrates'

#### **Representation of Lists**

- List is handled as binary tree in Prolog [Head | Tail] OR .(Head,Tail)
  - Where Head is an atom and Tail is a list
  - We can write [a,b,c] or .(a,.(b,.(c,[]))).

### Matching

- Given two terms, they are identical or the variables in both terms can have same objects after being instantiated date(D,M,2006) unification date(D1,feb,Y1)
   D=D1, M=feb,Y1=2006
- General Rule to decide whether two terms, S and T match are as follows:
  - If S and T are constants, S=T if both are same object
  - If S is a variable and T is anything, T=S
  - If T is variable and S is anything, S=T
  - If S and T are structures, S=T if
    - S and T have same functor
    - All their corresponding arguments components have to match

### **Declarative and Procedural Way**

- Prolog programs can be understood two ways: declaratively and procedurally.
- P:- Q,R
- Declarative Way
  - P is true if Q and R are true
- Procedural Way
  - To solve problem P, first solve Q and then R (or) To satisfy P, first satisfy Q and then R
  - Procedural way does not only define logical relation between the head of the clause and the goals in the body, but also the order in which the goal are processed.

#### **Evaluation**

```
mother_child(trude, sally).
```

```
father_child(tom, sally).
father_child(tom, erica).
father_child(mike, tom).
```

```
parent_child(X, Y) :- father_child(X, Y).
parent_child(X, Y) :- mother_child(X, Y).
```

```
sibling(X, Y):- parent_child(Z, X), parent_child(Z, Y).
```

```
?- sibling(sally, erica).
Yes (by chronological backtracking)
```

#### **Evaluation**

#### • ?- father\_child(Father, Child).

enumerates all valid answers on backtracking.

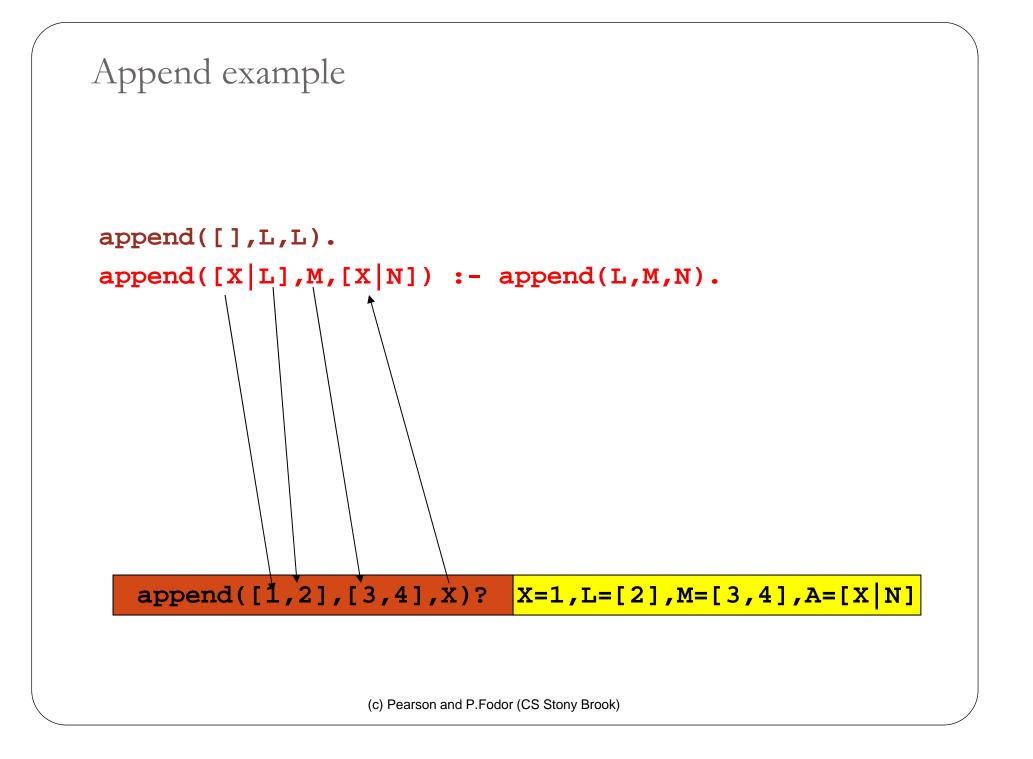
#### • ?- sibling(S1, S2).

enumerates all valid answers on backtracking.

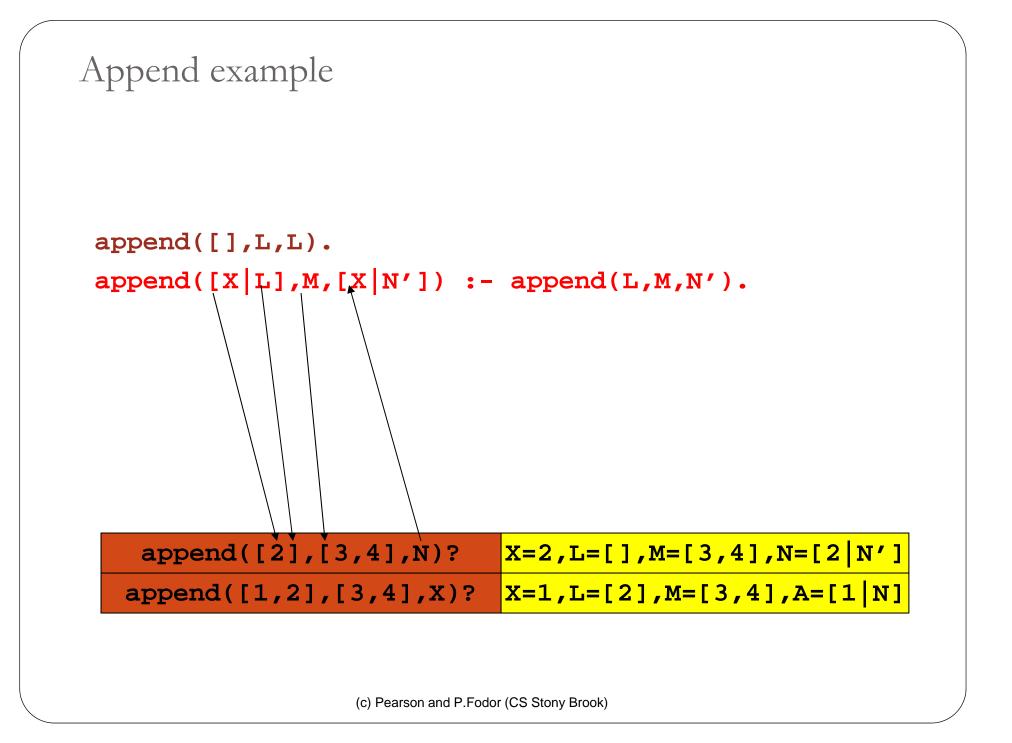
Append example

```
append([],L,L).
append([X|L], M, [X|N]) :- append(L,M,N).
```

append([1,2],[3,4],X)?



```
Append example
 append([],L,L).
 append([X|L],M,[X|N]) :- append(L,M,N).
    append([2],[3,4],N)?
                              X=1,L=[2],M=[3,4],A=[X|N]
   append([1,2],[3,4],X)?
                    (c) Pearson and P.Fodor (CS Stony Brook)
```

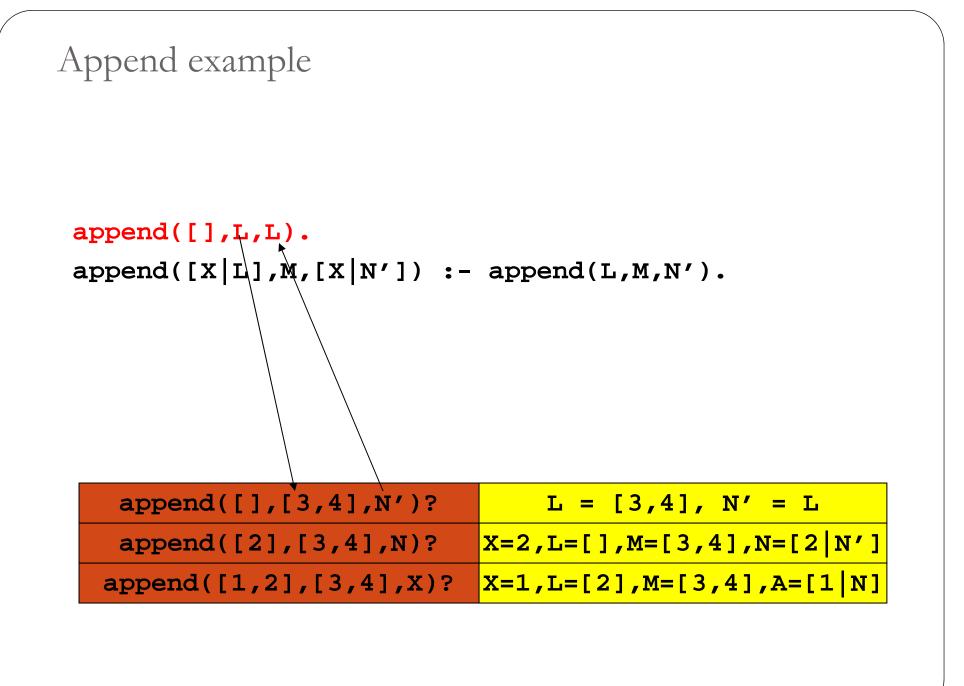


Append example

#### append([],L,L).

```
append([X|L],M,[X|N']) :- append(L,M,N').
```

<pre>append([],[3,4],N')?</pre>	
append([2],[3,4],N)?	X=2,L=[],M=[3,4],N=[2 N']
append([1,2],[3,4],X)?	X=1,L=[2],M=[3,4],A=[1 N]



Append example

append([],L,L).
append([X|L],M,[X|N']) :- append(L,M,N').

A = [1 N]	
N = [2 N']	
N' = L	
L = [3, 4]	
Answer: <b>A</b> = <b>[1,2,3,4]</b>	

<pre>append([],[3,4],N')?</pre>	L = [3,4], N' = L
append([2],[3,4],N)?	X=2,L=[],M=[3,4],N=[2 N']
append([1,2],[3,4],X)?	X=1,L=[2],M=[3,4],A=[1 N]

member(X, [X | R]).

member(X,[Y|R]) := member(X,R)

- X is a member of a list whose first element is X.
- X is a member of a list whose tail is R if X is a member of R.
- member(2, [1, 2, 3]).

Yes

?- member(X,[1,2,3]).

X = 1; X = 2; X = 3;No

```
select(X, [X | R], R).
```

select(X,[F|R],[F|S]) :- select(X,R,S).

- When X is selected from [X | R], R results.
- When X is selected from the tail of [X | R], [X | S] results, where S is the result of taking X out of R.

```
?- select(X,[1,2,3],L).
```

```
X=1 L=[2,3];
```

X=2 L=[1,3];

X=3 L=[1,2];

No

```
reverse([X | Y],Z,W) :- reverse(Y,[X | Z],W).
reverse([],X,X).
```

```
?- reverse([1,2,3],[],X).
X = [3,2,1]
Yes
```

```
perm([],[]).
perm([X | Y],Z) :- perm(Y,W), select(X,Z,W).
```

?- perm([1,2,3],P). P = [1,2,3]; P = [2,1,3]; P = [2,3,1]; P = [1,3,2]; P = [3,1,2];P = [3,2,1]

#### Recursion

• Transitive closure:



?- reachable(X,Y).

X = 1**Y** = **2**; Type a semi-colon repeatedly  $\mathbf{X} = \mathbf{2}$ Y = 3;X = 2Y = 4;X = 1Y = 3;X = 1Y = 4;no

106

#### ?- halt. Command to Exit XSB

# Cut (logic programming)

• Cut (! in Prolog) is a goal which always succeeds, but cannot be backtracked past

#### • Green cut

```
gamble(X) :- gotmoney(X),!.
```

 $gamble(X) := gotcredit(X), \setminus + gotmoney(X).$ 

#### • cut says "stop looking for alternatives"

 by explicitly writing \+ gotmoney(X), it guarantees that the second rule will always work even if the first one is removed by accident or changed

#### • Red cut

```
gamble(X) :- gotmoney(X),!.
gamble(X) :- gotcredit(X).
```