#### **CSE 638: Advanced Algorithms**

#### Lectures 14 & 15 ( Parallel Maximal Independent Set )

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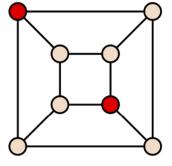
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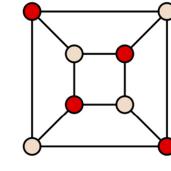
## Independent Sets

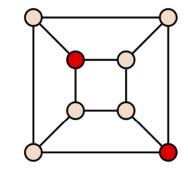
- Let G = (V, E) be an undirected graph.
- **Independent Set:** A subset  $I \subseteq V$  is said to be *independent* provided for each  $v \in I$  none of its neighbors in G belongs to I.
- **Maximal Independent Set:** An independent set of G is *maximal* if it is not properly contained in any other independent set in G.

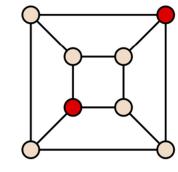
#### Maximum Independent Set:

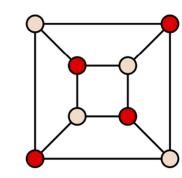
- A maximal independent set of the largest size.
- Finding a maximum
- independent set is NP-hard.
- But finding a maximal independent set is trivial in the sequential setting.

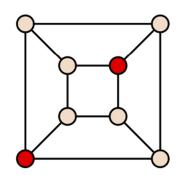












Maximal Independent Sets ( red vertices ) of the Cube Graph Source: Wikipedia

## Finding a Maximal Independent Set Sequentially

**Input:** *V* is the set of vertices, and *E* is the set of edges. For each  $v \in V$ , we denote by  $\Gamma(v)$  the set of neighboring vertices of *v*.

**Output:** A maximal independent set *MIS* of the input graph.

```
Serial-Greedy-MIS (V, E)

1. MIS \leftarrow \phi

2. for v \leftarrow 1 to |V| do

3. if MIS \cap \Gamma(v) = \phi then MIS \leftarrow MIS \cup \{v\}

4. return MIS
```

This algorithm can be easily implemented to run in  $\Theta(n + m)$  time, where n is the number of vertices and m is the number of edges in the input graph.

The output of this algorithm is called the *Lexicographically First MIS* (LFMIS).

## Finding a Maximal Independent Set Sequentially

**Input:** *V* is the set of vertices, and *E* is the set of edges. For each  $v \in V$ , we denote by  $\Gamma(v)$  the set of neighboring vertices of *v*.

**Output:** A maximal independent set *MIS* of the input graph.

```
Serial-Greedy-MIS-2 (V, E)

1. MIS \leftarrow \phi

2. while |V| > 0 \ do

3. pick an arbitrary vertex v \in V

4. MIS \leftarrow MIS \cup \{v\}

5. R \leftarrow \{v\} \cup \Gamma(v)

6. V \leftarrow V \setminus R

7. E \leftarrow E \setminus \{(v_1, v_2) \mid v_1 \in R \text{ or } v_2 \in R\}

8. return MIS
```

Always choosing the vertex with the smallest id in the current graph will produce exactly the same MIS as in *Serial-Greedy-MIS*.

## Finding a Maximal Independent Set Sequentially

**Input:** *V* is the set of vertices, and *E* is the set of edges. For each  $S \subseteq V$ , we denote by  $\Gamma(S)$  the set of neighboring vertices of *S*.

Output: A maximal independent set MIS of the input graph.

```
Serial-Greedy-MIS-3 (V, E)

1. MIS \leftarrow \phi

2. while |V| > 0 \ do

3. find an independent set S \subseteq V

4. MIS \leftarrow MIS \cup S

5. R \leftarrow S \cup \Gamma(S)

6. V \leftarrow V \setminus R

7. E \leftarrow E \setminus \{ (v_1, v_2) \mid v_1 \in R \text{ or } v_2 \in R \}

8. return MIS
```

# Parallelizing Serial-Greedy-MIS-3

- Number of iterations can be kept small by finding in each iteration an S with large  $S \cup \Gamma(S)$ . But this is difficult to do.
- Instead in each iteration we choose an S such that a large fraction of current edges are incident on  $S \cup \Gamma(S)$ .

Serial-Greedy-MIS-3 (V, E) 1.  $MIS \leftarrow \phi$ 2.  $while |V| > 0 \ do$ 3. find an independent set  $S \subseteq V$ 4.  $MIS \leftarrow MIS \cup S$ 5.  $R \leftarrow S \cup \Gamma(S)$ 6.  $V \leftarrow V \setminus R$ 7.  $E \leftarrow E \setminus \{ (v_1, v_2) \mid v_1 \in R \text{ or } v_2 \in R \}$ 8. return MIS

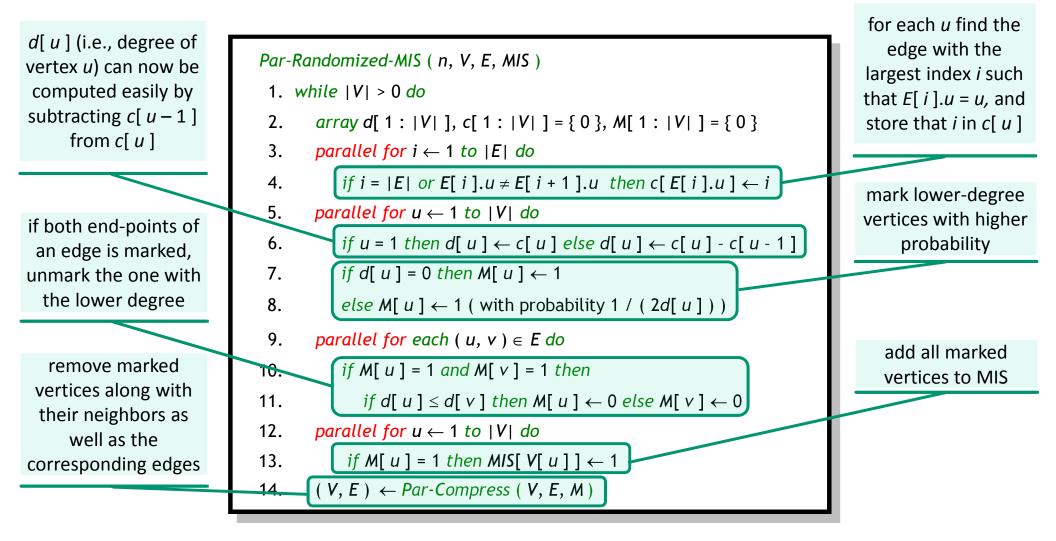
— To select S we start with a random  $S' \subseteq V$ .

- By choosing lower degree vertices with higher probability we are likely to have very few edges with both end-points in S'.
- We check each edge with both end-points in S', and drop the endpoint with lower degree from S'. Our intention is to keep  $\Gamma(S')$  as large as we can.
- After removing all edges as above we are left with an independent set. This is our *S*.
- We will prove that if we remove S ∪ Γ(S) from the current graph a large fraction of current edges will also get removed.

# Randomized Maximal Independent Set ( MIS )

**Input:** *n* is the number of vertices, and for each vertex  $u \in [1, n]$ , V[u] is set to *u*. *E* is the set of edges sorted in non-decreasing order of the first vertex. For every edge (u, v) both (u, v) and (v, u) are included in *E*.

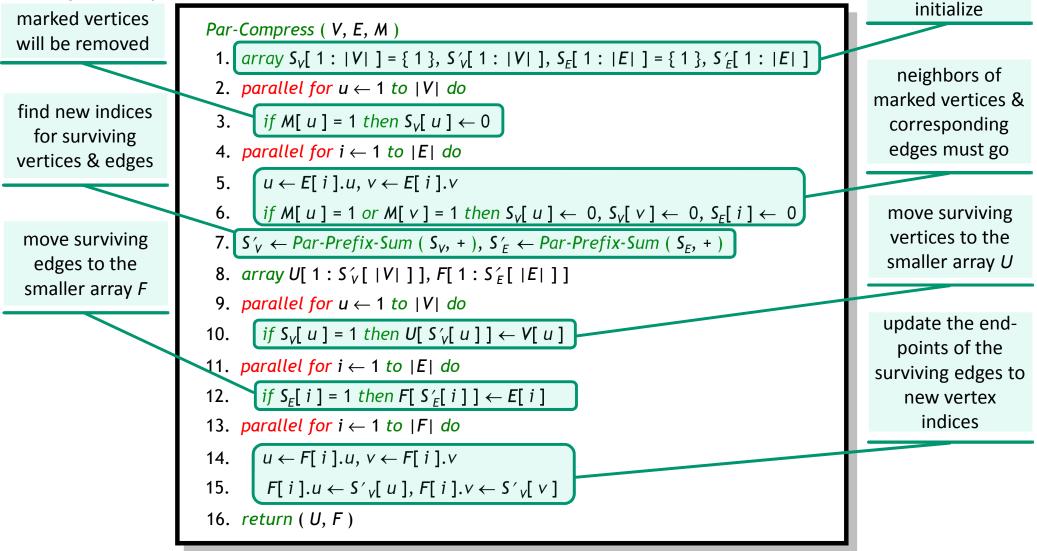
**Output:** For all  $u \in [1, n]$ , *MIS*[u] is set to 1 if vertex u is in the MIS.



## **Removing Marked Vertices and Their Neighbors**

**Input:** Arrays *V* and *E*, and bit array M[1: |V|]. Each entry of *E* is of the form (u, v), where  $1 \le u, v \le |V|$ . If for some u, M[u] = 1, then u and all v such that  $(u, v) \in E$  must be removed from *V* along with all edges (u, v) from *E*.

#### **Output:** Updated V and E.



#### **Removing Marked Vertices and Their Neighbors**

```
Par-Compress (V, E, M)
 1. array S_{V}[1:|V|] = \{1\}, S'_{V}[1:|V|],
            S_{F}[1:|E|] = \{1\}, S'_{F}[1:|E|]
 2. parallel for u \leftarrow 1 to |V| do
 3. if M[u] = 1 then S_v[u] \leftarrow 0
 4. parallel for i \leftarrow 1 to |E| do
 5. u \leftarrow E[i].u, v \leftarrow E[i].v
 6. if M[u] = 1 or M[v] = 1 then
           S_{v}[u] \leftarrow 0, S_{v}[v] \leftarrow 0, S_{z}[i] \leftarrow 0
 7. S'_{v} \leftarrow Par-Prefix-Sum (S_{v}, +),
     S'_{F} \leftarrow Par-Prefix-Sum(S_{F}, +)
 8. array U[1: S'_{V}[|V|]], F[1: S'_{F}[|E|]]
 9. parallel for u \leftarrow 1 to |V| do
10. if S_v[u] = 1 then U[S'_v[u]] \leftarrow V[u]
11. parallel for i \leftarrow 1 to |E| do
     if S_{F}[i] = 1 then F[S'_{F}[i]] \leftarrow E[i]
12.
13. parallel for i \leftarrow 1 to |F| do
14. u \leftarrow F[i].u, v \leftarrow F[i].v
15. F[i].u \leftarrow S'_v[u], F[i].v \leftarrow S'_v[v]
16. return (U, F)
```

The prefix sums in line 7 perform  $\Theta(|V| + |E|)$ work and have  $\Theta(\log^2|V| + \log^2|E|)$  depth. The rest of the algorithm also perform  $\Theta(|V| + |E|)$ work but in  $\Theta(\log|V| + \log|E|)$  depth. Hence,

```
Work: \Theta(|V| + |E|)
```

```
Span: \Theta(\log^2 |V| + \log^2 |E|)
```

## Randomized Maximal Independent Set ( MIS )

Par-Randomized-MIS ( n, V, E, MIS )	
1. while  V  > 0 do	
2.	array d[ 1 :  V  ], c[ 1 :  V  ] = { 0 },
	$M[1:  V ] = \{0\}$
3.	parallel for $i \leftarrow 1$ to $ E $ do
4.	if $i =  E $ or $E[i].u \neq E[i+1].u$ then
	c[ <i>E</i> [ <i>i</i> ]. <i>u</i> ] ← <i>i</i>
5.	parallel for $u \leftarrow 1$ to $ V $ do
6.	if $u = 1$ then $d[u] \leftarrow c[u]$
	else d[ u ] ← c[ u ] - c[ u - 1 ]
7.	if d[u] = 0 then M[u] $\leftarrow$ 1
8.	else M[ $u$ ] $\leftarrow$ 1 ( with prob 1 / ( 2 $d$ [ $u$ ] ) )
9.	parallel for each $(u, v) \in E$ do
10.	if M[ u ] = 1 and M[ v ] = 1 then
11.	if d[u] $\leq$ d[v] then M[u] $\leftarrow$ 0
	else $M[v] \leftarrow 0$
12.	parallel for $u \leftarrow 1$ to $ V $ do
13.	if M[ $u$ ] = 1 then MIS[ V[ $u$ ] ] $\leftarrow$ 1
14.	$(V, E) \leftarrow Par$ -Compress $(V, E, M)$

Let *n* = #vertices, and *m* = #edges initially.

Let us assume for the time being that at least a constant fraction of the edges are removed in each iteration of the *while* loop (we will prove this shortly). Let this fraction be f(< 1).

This implies that the *while* loop iterates  $\Theta(\log_{1/(1-f)} m) = \Theta(\log m)$  times. ( how? )

Each iteration performs  $\Theta(|V| + |E|)$  work and has  $\Theta(\log^2|V| + \log^2|E|)$  depth. Hence,

Work: 
$$T_1(n,m) = \Theta\left((n+m)\sum_{i=0}^k (1-f)^i\right)$$
  
=  $\Theta(n+m)$ 

**Span:**  $T_{\infty}(n,m) = \Theta((\log^2 n + \log^2 m)\log m)$ =  $\Theta(\log^3 n)$ 

**Parallelism:**  $\frac{T_1(n,m)}{T_{\infty}(n,m)} = \Theta\left(\frac{n+m}{\log^3 n}\right)$ 

Let, d(v) be the degree of vertex v, and  $\Gamma(v)$  be its set of neighbors.

**Good Vertex:** A vertex *v* is *good* provided  $|L(v)| \ge \frac{d(v)}{3}$ , where,  $L(v) = \{ u \mid (u \in \Gamma(v)) \land (d(u) \le d(v)) \}.$ 

**Bad Vertex:** A vertex is *bad* if it is not good.

**Good Edge:** An edge (u, v) is *good* if at least one of u and v is good.

**Bad Edge:** An edge (u, v) is *bad* if both u and v are bad.

**Lemma 1:** In some iteration of the *while* loop, let v be a good vertex with d(v) > 0, and let M be the set of vertices that got marked (in lines 7-8). Then

$$\Pr\{\Gamma(v) \cap M \neq \emptyset\} \ge 1 - e^{-1/6}.$$

**Proof:** We have,  $\Pr\{\Gamma(v) \cap M \neq \emptyset\} = 1 - \Pr\{\Gamma(v) \cap M = \emptyset\}$ 

$$= 1 - \prod_{u \in \Gamma(v)} \Pr\{u \notin M\} \ge 1 - \prod_{u \in L(v)} \Pr\{u \notin M\}$$
$$= 1 - \prod_{u \in L(v)} \left(1 - \frac{1}{2d(u)}\right) \ge 1 - \prod_{u \in L(v)} \left(1 - \frac{1}{2d(v)}\right)$$
$$= 1 - \left(1 - \frac{1}{2d(v)}\right)^{|L(v)|} \ge 1 - \left(1 - \frac{1}{2d(v)}\right)^{d(v)/3}$$
$$\ge 1 - e^{-\frac{d(v)/3}{2d(v)}} = 1 - e^{-\frac{1}{6}}$$

**Lemma 2:** In any iteration of the *while* loop, let *M* be the set of vertices that got marked (in lines 7-8), and let *S* be the set of vertices that got included in the MIS (in line 13). Then

$$\Pr\{v \in S \mid v \in M\} \ge \frac{1}{2}.$$

**Proof:** We have,  $Pr\{v \in S \mid v \in M\}$ 

$$\geq 1 - \Pr\{\exists u \in \Gamma(v) \text{ s.t. } (d(u) \geq d(v)) \land (u \in M)\}$$
  
$$\geq 1 - \sum_{\substack{u \in \Gamma(v) \\ d(u) \geq d(v)}} \frac{1}{2d(u)} \geq 1 - \sum_{\substack{u \in \Gamma(v) \\ d(u) \geq d(v)}} \frac{1}{2d(v)}$$
  
$$\geq 1 - \sum_{\substack{u \in \Gamma(v) \\ u \in \Gamma(v)}} \frac{1}{2d(v)} = 1 - d(v) \times \frac{1}{2d(v)} = \frac{1}{2}$$

**Lemma 3:** In any iteration of the *while* loop, let  $V_G$  be the set of good vertices, and let S be the vertex set that got included in the MIS. Then

Pr{ *v* ∈ *S* ∪ Γ(*S*) | *v* ∈ *V<sub>G</sub>* } ≥ 
$$\frac{1}{2}$$
 (1 − *e*<sup>-1/6</sup>).

**Proof:** We have,  $\Pr\{v \in S \cup \Gamma(S) \mid v \in V_G\}$ 

 $\geq \Pr\{ v \in \Gamma(S) \mid v \in V_G \} = \Pr\{ \Gamma(v) \cap S \neq \phi \mid v \in V_G \}$  $= \Pr\{ \Gamma(v) \cap S \neq \phi \mid \Gamma(v) \cap M \neq \phi, v \in V_G \}$  $\times \Pr\{ \Gamma(v) \cap M \neq \phi \mid v \in V_G \}$  $\geq \Pr\{ u \in S \mid u \in \Gamma(v) \cap M, v \in V_G \}$  $\times \Pr\{ \Gamma(v) \cap M \neq \phi \mid v \in V_G \}$  $\geq \frac{1}{2} (1 - e^{-1/6})$ 

**Lemma 3:** In any iteration of the *while* loop, let  $V_G$  be the set of good vertices, and let S be the vertex set that got included in the MIS. Then  $\Pr\{v \in S \cup \Gamma(S) \mid v \in V_G\} \ge \frac{1}{2} (1 - e^{-1/6}).$ 

**Corollary 1:** In any iteration of the *while* loop, a good vertex gets removed (in line 14) with probability at least  $\frac{1}{2}(1 - e^{-1/6})$ .

**Corollary 2:** In any iteration of the *while* loop, a good edge gets removed (in line 14) with probability at least  $\frac{1}{2}(1 - e^{-1/6})$ .

- **Lemma 4:** In any iteration of the *while* loop, let E and  $E_G$  be the sets of all edges and good edges, respectively. Then  $|E_G| \ge |E|/2$ . **Proof:** For each edge  $(u, v) \in E$ , direct (u, v) from u to v if  $d(u) \le d(v)$ , and v to u otherwise.
- For every vertex v in the resulting digraph let  $d_i(v)$  and  $d_o(v)$  denote its in-degree and out-degree, respectively.

Let  $V_G$  and  $V_B$  be the set of good and bad vertices, respectively.

Then for each 
$$v \in V_B$$
,  $d_o(v) - d_i(v) \ge \frac{d(v)}{3}$ .

Let  $m_{BB}$ ,  $m_{BG}$ ,  $m_{GB}$  and  $m_{GG}$  be the #edges directed from  $V_B$  to  $V_B$ , from  $V_B$  to  $V_G$ , from  $V_G$  to  $V_B$ , and from  $V_G$  to  $V_G$ , respectively.

**Lemma 4:** In any iteration of the *while* loop, let E and  $E_G$  be the sets of all edges and good edges, respectively. Then  $|E_G| \ge |E|/2$ . **Proof ( continued ):** We have,

$$2m_{BB} + m_{BG} + m_{GB}$$

$$= \sum_{v \in V_B} d(v) \le 3 \sum_{v \in V_B} (d_o(v) - d_i(v)) = 3 \sum_{v \in V_G} (d_i(v) - d_o(v))$$
  
=  $3((m_{BG} + m_{GG}) - (m_{GB} + m_{GG})) = 3(m_{BG} - m_{GB})$   
 $\le 3(m_{BG} + m_{GB})$ 

Thus 
$$2m_{BB} + m_{BG} + m_{GB} \leq 3(m_{BG} + m_{GB})$$
  
 $\Rightarrow m_{BB} \leq m_{BG} + m_{GB} \Rightarrow m_{BB} \leq m_{BG} + m_{GB} + m_{GG}$   
 $\Rightarrow (m_{BG} + m_{GB} + m_{GG}) + m_{BB} \leq 2(m_{BG} + m_{GB} + m_{GG})$   
 $\Rightarrow |E| \leq 2|E_G|$ 

**Lemma 5:** In any iteration of the *while* loop, let *E* be the set of all edges. Then the expected number of edges removed (in line 14) during this iteration is at least  $\frac{1}{4}(1 - e^{-1/6})|E|$ .

**Proof:** Follows from Lemma 4 and Corollary 2.