

# Lower Bounds

2017년 11월 27일 월요일

오후 3:30 ppt 12-11th. 21:55

## Lower Bound for Algorithms

\* review

Big-Omega :

$f(n)$  is  $\Omega(g(n))$  if

$cg(n) \leq f(n)$  for constant

$c$  and  $n > n_0$



⇒

\* Let  $T(A, f)$  be the # of functional evaluations performed by some algo  $A$  given the step function  $f$  as input

• "Time complexity of Algo  $A$ " is the worst-case running time over all the possible inputs

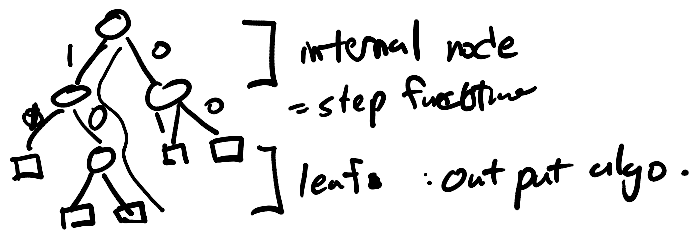
$$T(A) = \max_{|f|=n} T(A, f)$$

• "Time complexity of the problem"  
is time complexity of the fastest algo that correctly solves it.

$$T(n) = \min_A T(A) = \min_A \max_{|S|=n} T(A, S)$$

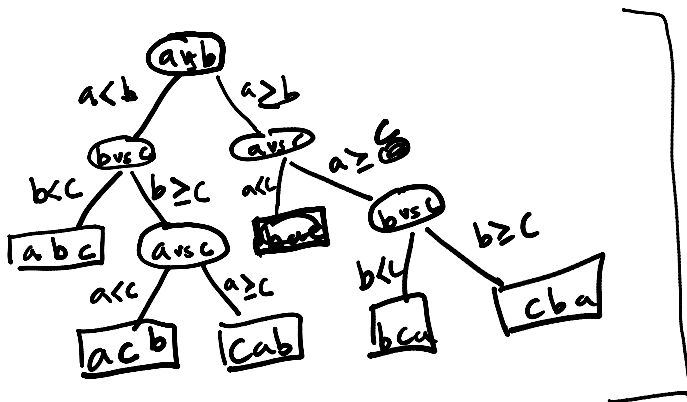
# 1. Comparison based Algo

Algo.s can be represented as Decision Trees  
 : rooted, ordered, binary.



x execution of algo gives path from root to a leaf (deterministic)

(\*) d.t. for sorting  $n=3$   
 input = { a, b, c }



## Theorem

Any decision tree that sorts  $n$  distinct elements has height at least  $\log_2(n!)$ .

• proof

• since the answer can be any of  $n!$  permutations of the input of size  $n$ , there are at least  $n!$  leaves

• since there are at most 2 children of any non-leaf node a tree of height  $h$  can have at most  $2^h$  leaves



$\therefore$  Thus the height must be at least  $\lg_2 n!$

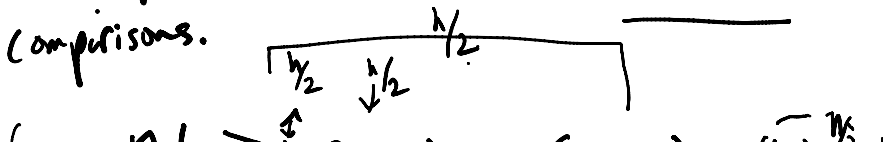
• corollary

Any algo. that sort  $n$  elements by comparison req.  $\Omega(n \lg n)$  time for some input of size  $n$

proof) Any comparison based algorithm can be modeled by decision tree

There is going to be some leaf at least  $\lg_2(n!)$ .

Thus there is a computation that will perform at least  $\lg_2(n!)$  of comparisons.



computational

$$\log(n!) \geq \log \underbrace{\left( \frac{n}{2} (n-1) \dots (n-\frac{n}{2}) \right)}_{\approx \frac{n}{2}} \geq \left( \frac{n}{2} \right)^{\frac{n}{2}}$$

$$\lg n! \geq \lg \left( \frac{n}{2} \right)^{\frac{n}{2}} = \frac{n}{2} \lg \frac{n}{2}$$

$$= O(n \lg n)$$

$$\therefore \Omega(n \lg n)$$

### Algebraic Computational Tree (ACT)

- input value  $v = \{x_1, \dots, x_n\}$

- non-leaf node  $v$ :
  - are either with 1) one child which contains instructions



- $f_v \leftarrow f_{v_1} \text{ op } f_{v_2} \quad \text{op} \in \{+, -, \times, \div\}$



- $f_v \leftarrow c$
- $f_v \leftarrow \sqrt{f_{v_1}}$
- $f_v \leftarrow x_i$



- 2) with 2 children which contains
  - $f_v > 0 \quad f_v < 0 \quad f_v = 0$  (yes or no)

- leaf nodes: labeled with binary strings



if it is Y/N answer, then 0 or 1

if it is combinatorial answer:

binary string that encodes the information  
output

(Claim  
sorting takes  $\Omega(n \lg n)$  time in ACT model

proof)

there must be at least  $n!$  leaves  
for all of the input permutation.

The # of children of the node  
is at most 2. Thus the  
height of the tree must be  
at least  $\lg(n!)$ , as before  
in decision tree.

## \* Problem Reduction

Given 2 problem A and B, A is

$f(n)$ -transformable to B if

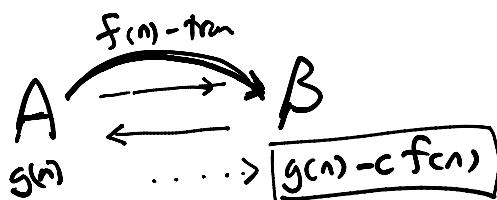
1) any instance of A can be transformed  
into an instance of B in  $O(f(n))$  time

and 2) the solution for B can be  
transformed into a solution of A  
in  $O(f(n))$  time

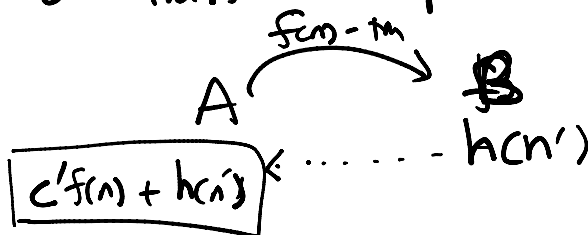
where  $n$  is the size of the problem

\* Let  $A$  be  $f(n)$ -transformable to  $B$

- 1) If  $A$  requires  $g(n)$  time, the  $B$  takes at least  $g(n) - cf(n)$  time



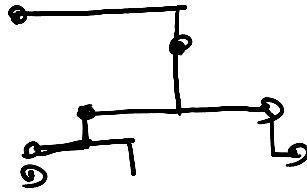
- 2) If  $B$  is solvable in  $h(n)$  time then  $A$  is solvable in  $c'f(n) + h(n')$  time, for some const.  $c' > 0$  and  $n'$  the size of transformed input.



Problem: Rectilinear Steiner tree

Given a set of points in the plane, find a set of horizontal and vertical line segments of minimal total length that connects all the points





•  $O(n)$  time reduction from sorting to RST  
 B

$O(n)$  ( 1. sorting input :  $\{v_1, \dots, v_n\}$   
 transform input  
 $p_i = (v_i, 0)$  for  $i=1 \dots n$



$O(n)$   $\Rightarrow$  Given the solution to RS-  
 Search the solution starting from the leftmost end point and print of  $v_i$  when each point  $p_i$  is encountered

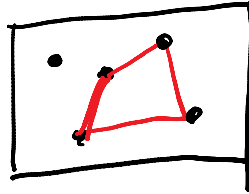
$$\frac{g(n) - c f(n)}{\Omega(n \lg n)} \rightarrow \boxed{\cancel{O(n)}}$$

RST  $\Omega(n \lg n)$

Problem 2: Maximum Empty Convex Region Problem

Given a rectangle in the plane and  $n$  points inside the rectangle,

Find the largest convex region on the rectangle that contains no points and output the boundary of the region in counter clockwise order



Lower Bound using sorting

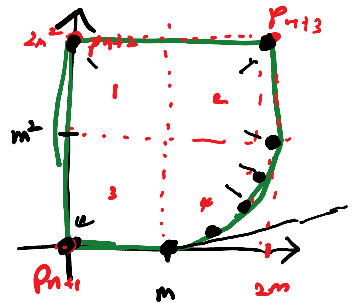
Reduce from sorting  $n$  positive value  $\{v_1, \dots, v_n\}$  to MEQR

① Input transform.

Let  $m = \max \{v_1, \dots, v_n\}$

$$② p_i = \left( \frac{m + v_i}{2}, \frac{v_i^2}{2} \right)$$

$$\Theta(n) \begin{cases} p_{n+1} = (0, 0) \\ p_{n+2} = (0, 2m^2) \\ p_{n+3} = (2m, 2m^2) \end{cases}$$



② Output transformation

$\Theta(n)$  to translate solution of MEQR  
Start at  $(0, 0)$  and walk around the region output the corresponding  $v_i$  values.

Since reduction takes  $\Theta(n)$  time but we have  $\Omega(n \lg n)$  for sorting

the lower bound of MEER

$$g(n) - c \cdot f(n) = \underline{\Omega(n \lg n)} - c \Theta(n)$$

$$\therefore \Omega(n \lg n)$$

\* other problems that has  $\Omega(n \lg n)$   
in ACT model

① element uniqueness problem

- given  $n$  ~~numbers~~ numbers,  
determine if the elements are  
distinct.

2) set equality or inclusion

→ given set  $A = \{x_1, \dots, x_n\}$

and set  $B = \{y_1, \dots, y_n\}$ ,

determine if  $A = B$  or  $A \subseteq B$

3) set disjointness P.

→ determine if  $A \cap B = \emptyset$