

# Internet DMZ Equipment Policy

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## CS Department Internet DMZ Equipment Policy

### 1.0 Purpose

The purpose of this policy is to define standards to be met by all equipment owned and/or operated by SUNY Stony Brook CS department located outside SUNY Stony Brook CS department's Internet firewalls. These standards are designed to minimize the potential exposure to SUNY Stony Brook CS department from the loss of sensitive or department confidential data, intellectual property, damage to public image etc., which may follow from unauthorized use of SUNY Stony Brook CS department resources.

Devices that are Internet facing and outside the SUNY Stony Brook CS department firewall are considered part of the "de-militarized zone" (DMZ) and are subject to this policy. These devices (network and host) are particularly vulnerable to attack from the Internet since they reside outside the department firewalls.

The policy defines the following standards:

- Ownership responsibility
- Secure configuration requirements
- Operational requirements
- Change control requirement

### 2.0 Scope

All equipment or devices deployed in a DMZ owned and/or operated by SUNY Stony Brook CS department including hosts, routers, switches, etc.) and/or registered in any Domain Name System (DNS) domain owned by SUNY Stony Brook CS department, must follow this policy.

This policy also covers any host device outsourced or hosted at external/third-party service providers, if that equipment resides in the "cs.sunysb.edu" domain or appears to be owned by SUNY Stony Brook CS department.

All new equipment which falls under the scope of this policy must be configured according to the referenced configuration documents, unless a waiver is obtained from the Director of Labs. All existing and future equipment deployed on SUNY Stony Brook CS department's un-trusted networks must comply with this policy.

### 3.0 Policy

#### 3.1. Ownership and Responsibilities

Equipment and applications within the scope of this policy must be administered by support groups, approved by the Director of Labs for DMZ system, application, and/or network management.

Support groups will be responsible for the following:

Equipment must be documented in the department wide enterprise management system. At a minimum, the following information is required:

- o Host contacts and location.
- o Hardware and operating system/version.
- o Main functions and applications.
- o Password groups for privileged passwords.

Network interfaces must have appropriate Domain Name Server records (minimum of A and PTR records).

Password groups must be maintained in accordance with the department wide password management system/process.

- Immediate access to equipment and system logs must be granted to members of CS department Systems staff upon demand, per the *Audit Policy*.
- Changes to existing equipment and deployment of new equipment must follow department change management processes/procedures.

To verify compliance with this policy, CS department systems staff will periodically audit DMZ equipment per the *Audit Policy*.

### **3.2. General Configuration Policy**

All equipment must comply with the following configuration policy:

- Hardware, operating systems, services and applications must be approved by as part of the pre-deployment review phase.
- Operating system configuration must be done according to the secure host and router installation and configuration standards see *Host Security Policy*, *Router Security Policy*, *Server Security Policy*, *Internet Server Security Policy*.
- All patches/hot-fixes recommended by the equipment vendor and CS department systems staff must be installed. This applies to all services installed, even though those services may be temporarily or permanently disabled. Administrative owner groups must have processes in place to stay current on appropriate patches/hotfixes.
- Services and applications not serving lab business requirements must be disabled.
- Trust relationships between systems may only be introduced according to prudent security practices and must be documented. No trust relationship from a system outside the CS department firewall to a system behind the CS department firewall is permitted.
- Services and applications not for general access must be restricted by access control lists.
- Insecure services or protocols (as determined by CS department systems staff) must be replaced with more secure equivalents whenever such exist.
- Remote administration must be performed over secure channels (e.g., encrypted network connections using SSH or IPSEC) or console access independent from the DMZ networks. All host content updates must occur over secure channels.
- Security-related events must be logged and audit trails saved to CS department systems staff-approved logs. Security-related events include (but are not limited to) the following:
  - User login failures.
  - Failure to obtain privileged access.
  - Access policy violations.
- Director of Labs will address non-compliance waiver requests on a case-by-case basis and approve waivers, if justified.

### **3.3. New Installations and Change Management Procedures**

All new installations and changes to the configuration of existing equipment and applications must follow the following policies/procedures:

- New installations must be done via the *DMZ Equipment Deployment Process*.
- Configuration changes must follow the department Change Management (CM) Procedures.
- CS department systems staff must be invited to perform system/application audits prior to the deployment of new services.
- CS department systems staff must be engaged, either directly or via CM, to approve all new deployments and configuration changes.

### **3.4. Equipment Outsourced to External Service Providers**

The responsibility for the security of the equipment deployed by external service providers must be clarified in the contract with the service provider and security contacts, and escalation procedures documented. Contracting groups are responsible for third party compliance with this policy.

## **4.0 Enforcement**

Any user found to have violated this policy may be subject to disciplinary action.

External service providers found to have violated this policy may be subject to financial penalties, up to and including termination of contract.

## 5.0 Definitions

### Terms

*DMZ (de-militarized zone)*

### Definitions

Any un-trusted network connected to, but separated from, SUNY Stony Brook CS department's network by a firewall, used for external (Internet/partner, etc.) access from within SUNY Stony Brook CS department, or to provide information to external parties. Only DMZ networks connecting to the Internet fall under the scope of this policy.

*Secure Channe*

Out-of-band console management or channels using strong encryption according to the *Acceptable Encryption Policy*. Non-encrypted channels must use strong user authentication (one-time passwords).

*Un-Trusted Network*

Any network firewalled off from the CS department network to avoid impairment of production resources from irregular network traffic (lab networks), unauthorized access (partner networks, the Internet etc.), or anything else identified as a potential threat to those resources.

## 6.0 Revision History